

Additions underscored
 Deletions [bracketed]

NYSE Arca Equities, Inc.

**SCHEDULE OF FEES AND CHARGES
 FOR EXCHANGE SERVICES**

October 1, 2013

NYSE ARCA MARKETPLACE: TRADE RELATED FEES AND CREDITS¹

<p>Retail Order Cross-Asset Tier: For ETP Holders, including Market Makers, that execute an CADV³ of Retail Orders during the month that is 0.30% or more of the US CADV³ [:] and [2)] are affiliated with an OTP Holder or OTP Firm that provides an ADV⁴ of electronic posted Customer executions in Penny Pilot issues on NYSE Arca Options (excluding mini options) of at least 0.50% of total Customer equity and ETF</p>	<p>Tape A, Tape B, and Tape C Securities: \$0.0034 per share (credit) for Retail Orders that provide liquidity to the Book. For all other fees and credits, Tiered or Basic Rates apply based on a firm's qualifying levels.</p>
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¹ Credits will not be applied to Open Orders (e.g., GTC Orders) executed after the trading date on which they were entered. Trade activity on days when the market closes early does not count toward volume tiers.

³ US CADV means United States Consolidated Average Daily Volume for transactions reported to the Consolidated Tape and excludes volume on days when the market closes early. Transactions that are not reported to the Consolidated Tape are not included in US CADV.

⁴ ADV means Average Daily Volume.

<p>option ADV as reported by OCC:</p> <p>A Retail Order is an agency order <u>or a riskless principal order that meets the criteria of FINRA Rule 5320.03</u> that originates from a natural person and is submitted to the Exchange by an ETP Holder, provided that no change is made to the terms of the order with respect to price or side of market and the order does not originate from a trading algorithm or any other computerized methodology.</p>	
<p>Retail Order Tier: For ETP Holders, including Market Makers, that execute an ADV⁴ of Retail Orders during the month that is 0.20% or more of the US CADV³:</p> <p>[A Retail Order is an agency order that originates from a natural person and is submitted to the Exchange by an ETP Holder, provided that no change is made to the terms of the order with respect to price or side of market and the order does not originate from a trading algorithm or any other computerized methodology.]</p>	<p>Tape A, Tape B, and Tape C Securities:</p> <p>\$0.0033 per share (credit) for Retail Orders that provide liquidity to the Book.</p> <p>For all other fees and credits, Tiered or Basic Rates apply based on a firm's qualifying levels.</p>