

Additions underscored

Deletions [bracketed]

NYSE AMERICAN OPTIONS FEE SCHEDULE*

*NYSE American Options is the options trading facility of NYSE American LLC

Effective as of March [3]7, 2025

Section I. Options Transaction Fees and Credits

A. Rates for Options transactions. The following transaction fees apply to executions in Option contracts.

Participant	Penny/Non-Penny	Rate Per Contract For Electronic Transactions	Marketing Charges Per Contract for Electronic Transactions ³	Rate Per Contract Manual Transactions
Broker-Dealer ^{1,5,8}	Penny	\$0.50	N/A	\$0.25
	Non-Penny	\$0.85	N/A	\$0.25
Customer	Penny	\$0.00	N/A	\$0.00
	Non-Penny	\$0.00	N/A	\$0.00
DOMM ^{1,2,3,5}	Penny	\$0.25	\$0.25	N/A
	Non-Penny	\$0.25	\$0.70	N/A
e-Specialist ^{1,2,3,5}	Penny	\$0.25	\$0.25	[\$0.30] <u>\$0.50</u>
	Non-Penny	\$0.25	\$0.70	[\$0.30] <u>\$0.50</u>
Firm ^{1,4,5,8}	Penny	\$0.49	N/A	\$0.25
	Non-Penny	\$0.85	N/A	\$0.25
Firm Facilitation ¹	Penny	N/A	N/A	\$0.00
	Non-Penny	N/A	N/A	\$0.00

NYSE American Options Market Maker ^{1,2,3,5}	Penny	\$0.25	\$0.25	\$0.50
	Non-Penny	\$0.25	\$0.70	\$0.50
Non-NYSE American Options Market Maker ^{1,2,5,8}	Penny	\$0.50	N/A	\$0.25
	Non-Penny	\$0.85	N/A	\$0.25
Professional Customer ^{1,5,8}	Penny	\$0.50	N/A	\$0.25
	Non-Penny	\$0.85	N/A	\$0.25
Specialist ^{1,2,3,5}	Penny	\$0.25	\$0.25	[\$0.30] <u>\$0.50</u>
	Non-Penny	\$0.25	\$0.70	[\$0.30] <u>\$0.50</u>

Section III. Monthly Trading Permit, Rights, Floor Access and Premium Product Fees

C. e-Specialist, DOMM and Specialist Monthly Rights Fees.^[1] Rights Fees will be allocated to Specialists, e-Specialists and DOMMs based on their prorated share of contract volume on the Exchange in each issue. This fee is in addition to the Market Maker Fee and will be billed on a per issue basis to the ATP Holder acting as Specialist, e-Specialist, or DOMM in the issue. Average National Daily Customer Contracts Per Issue is calculated based on public customer contracts traded using a rolling three month basis with a one month lag. Professional Customer orders will be treated as Customer orders for purposes of this calculation. Where the Specialist, the e-Specialist, or DOMMs transact zero volume in a month, the Exchange splits the Rights Fee equally among the Specialist and e-Specialist, such that each Specialist and e-Specialist participant is liable for 50% of the Rights Fee. In the event that there is only a Specialist or e-Specialist and there are no DOMM volumes, then that sole Specialist or e-Specialist incurs 100% of the Rights Fee applicable to the option issue. The Rights Fees are shown in the table below.

Average National Daily Customer Contracts Per Issue	Monthly Base Rate Per Issue
0 to 200	\$50
201 to 2,000	\$60
2,001 to 5,000	\$150
5,001 to 15,000	\$375

15,001 to 100,000	\$1,250
Over 100,000	\$2,000

[¹ FAANG is exempt from the Rights Fees.]

Section VII. Regulatory Fees

A. Options Regulatory Fee (“ORF”). The ORF will be assessed to each ATP Holder for all options transactions that are cleared by the ATP Holder through the OCC in the customer range regardless of the exchange on which the transaction occurs. The Exchange uses reports from OCC when assessing and collecting the ORF. The ORF is not assessed on outbound linkage trades. The fee is collected from ATP Holder clearing firms by the OCC on behalf of NYSE American. An ATP Holder shall not be assessed the fee until it has satisfied applicable technological requirements necessary to commence operations on NYSE American. The Exchange will notify participants via a Trader Update of any change in the amount of the fee at least 30 calendar days prior to the effective date of the change.

Rate Per Contract
[\$0.0055]
[The Exchange will waive the ORF from October 1, 2023 to December 31, 2023 and recommence assessing the ORF as of January 1, 2024. Effective January 1, 2024, the ORF will be]\$0.0038[.]
