Additions <u>underscored</u> Deletions [bracketed]

NYSE AMERICAN OPTIONS FEE SCHEDULE*

*NYSE American Options is the options trading facility of NYSE American LLC

Effective as of January 2, 2025

C. NYSE American Options Market Maker Sliding Scale – Electronic. NYSE American Options Market Makers are eligible for reduced per contract rates for Electronic options transactions, including those executed via the BOLD Mechanism, as shown in the table below. The rates shown are applicable to monthly volume within a given tier such that the lower per contract rate applies to volume within that higher tier. In calculating Market Maker Electronic monthly volumes, the Exchange will exclude any volumes attributable to QCC trades, CUBE Auctions, or Strategy Execution Fee Caps as these transactions are subject to separate pricing described in Sections I.F., I.G. and I.J., respectively.

				Prepayment Program Participant Rates	
Tier	Market Maker Electronic ADV as a % of TCADV	Rate per Contract for Non- Take Volume ¹	Rate per Contract for Take Volume ¹	Rate per Contract for Non- Take Volume ¹	Rate per Contract for Take Volume ¹
1	0.00% to 0.25%	\$0.25	\$0.25	\$0.21	\$0.24
					<u>\$0.23</u> [\$0.22]
2	> 0.25% to 0.70%	<u>\$0.23</u> [\$0.22]	<u>\$0.25</u> [\$0.24]	<u>\$0.19</u> [\$0.18]	
3	> 0.70% to <u>1.25%</u> [1.50%]	\$0.12	\$0.17	<u>\$0.08</u> [\$0.09]	<u>\$0.12</u> [\$0.13]
4	> <u>1.25%</u> [1.50%]	\$0.09	\$0.14	\$0.06	\$0.10

- ^{1.} For the purposes of the Sliding Scale transaction charges, all eligible volume that does not remove liquidity will be considered "non-take volume"; whereas all volume that removes liquidity will be considered "take volume."
- D. Prepayment Program. Any NYSE American Options Market Maker is eligible for the rates described in the Market Maker Sliding Scale in Section I. C. A NYSE American Options Market Maker may prepay a portion of the fees it incurs under Section I.C., I.G., I.M., and III.A. In exchange for prepayment of a portion of their Section I.C., I.G., I.M., and III. A. fees, the NYSE American Options Market Maker qualifies for reduced fees under Section I.C. and also qualifies its Affiliated OFP or its Appointed OFP to earn enhanced credits under Section I.E. NYSE American Options Market Makers can commit to a 1-year term ("1 Year Prepayment Program") or, can commit to prepay for the remainder of the calendar year, effective the following quarter ("Balance of the Year Program"). The choice of a 1 Year Prepayment Program or Balance of the Year Program impacts the enhanced credits an Affiliated OFP or an Appointed OFP of a NYSE American Options Market Maker is eligible for under Section I.E.

A NYSE American Options Market Maker that participates in the Balance of the Year Program will receive a credit equal to its prepayment amount (i.e., \$2,475,000; \$1,800,000; or \$975,000, respectively) toward fees it incurs under Section I.C., I.G., I.M., and III.A. Once the prepayment credit has been exhausted, the Exchange will invoice the NYSE American Options Market Maker at the applicable rates under Section I.C., I.G., I.M., and III.A. In the event that a NYSE American Options Market Maker does not conduct sufficient activity to exhaust the entirety of their prepayment credit within the calendar year, there will be no refunds issued for any unused portion of their prepayment credit. An NYSE American Options Market Maker that restructures while enrolled in the Prepayment Program will maintain its status in the program.
