

Additions underscored

Deletions [bracketed]

**NYSE AMERICAN OPTIONS FEE SCHEDULE\***

\*NYSE American Options is the options trading facility of NYSE American LLC

**Effective as of January [12]25, 2024**

\*\*\*\*\*

Table of Contents

\*\*\*\*\*

I. Options Transaction Fees & Credits

\*\*\*\*\*

F. Qualified Contingent Cross (“QCC”) Fees & Credits

\*\*\*\*\*

III. Monthly Trading Permit, Rights, Floor Access and Premium Product Fees

A. Floor Broker, Order Flow Provider, Clearing Member and NYSE American Options Market Maker Permit Fees

B. Floor Access Fees

C. Rights Fees

D. NYSE American Options Market Maker Premium Product Fees

E. Floor Broker Incentive and Rebate Programs

1. Floor Broker Fixed Cost Prepayment Incentive Program

[2. Reserved.]

\*\*\*\*\*

**I. Options Transaction Fees & Credits**

\*\*\*\*\*

**F. QCC Fees & Credits.** The table below describes the per contract fees and credits applicable to volume executed as part of a QCC trade.

<b>Participant</b>	<b>Per Contract Fee or Credit</b>
Customer and Professional Customer	\$0.00
Market Maker, Firm, or Broker Dealer	\$0.20
Floor Brokers executing Customer or Professional Customer vs. Market Maker, Firm, or Broker Dealer QCC Transaction <sup>1</sup>	(\$0.12)
Floor Brokers executing Market Maker, Firm, or Broker Dealer vs. Market Maker, Firm, or Broker Dealer QCC Transaction <sup>1</sup>	(\$0.18)

<sup>1</sup> Floor Brokers will not receive a credit for QCC trades that have a Customer or Professional Customer, or both, on both sides of the trade. For example, a Floor Broker executing as a QCC trade an order from a Customer buying 1,000 ABC Dec 40 Calls and an order from a Professional Customer selling 1,000 ABC Dec 40 Calls at \$2.00 will not be eligible for the Floor Broker credits. The Floor Broker credit will not apply to any QCC trades that are included in the Strategy Cap (per Section I.J.). Floor Broker credits paid for QCC trades and rebates paid through the Manual Billable Rebate Program (as described in Section III.E.1. below) shall not combine to exceed [\$2,000,000]\$2,500,000 per month per Floor Broker firm.

Floor Brokers that achieve the following monthly qualification may also qualify for a QCC Billable Bonus Rebate, payable on a monthly basis:

QCC Billable Bonus Rebate Qualification	Rebate on Two Billable Side QCC Contract
1 million manual billable sides and 3 million QCC billable contracts	(\$0.02)

\*\*\*\*\*

**Section III. Monthly Trading Permit, Rights, Floor Access and Premium Product Fees**

\*\*\*\*\*

**E. Floor Broker Incentive and Rebate Programs**

[For the month in which the Exchange commences its migration to the Pillar platform (the “Migration Month”), ATP Holders and ATP Firms will receive the tier(s), incentive(s), and discount(s) achieved in the month prior to the Migration Month or the tier(s), incentive(s), and discount(s) achieved during the Migration Month, whichever are better.]

**1. Floor Broker Fixed Cost Prepayment Incentive Program (the “FB Prepay Program”)**

The FB Prepay Program affords each Floor Broker organization the opportunity to prepay its annual “Eligible Fixed Costs” (set forth in the table below) for the following calendar year.

<b>ELIGIBLE FIXED COSTS</b>
Section III.A. Monthly ATP Fees
Section III.B. Floor Access Fee
Section IV. Monthly Floor Communication, Connectivity, Equipment and Booth or Podia Fees as listed below: [Login] Transport Charges Booth Premises Telephone Service Cellular Phones Booth Telephone System - Line Charge Booth Telephone System - Single line phone jack and data jack Wire Services

Participants in the FB Prepay Program qualify for rebates by achieving billable manual volume of certain amounts (the “Manual Billable Rebate Program”). The calculation of volume on which rebates earned through the Manual Billable Rebate Program would be paid is based on transactions including at least one side for which manual transaction fees are applicable

and unless otherwise indicated excludes QCCs. Any volume calculated to achieve the Strategy Execution Fee Cap, regardless of whether the cap is achieved, will likewise be excluded from the Manual Billable Rebate Program because fees on such volume are already capped and therefore such volume does not increase billable manual volume.

Participants in the FB Prepay Program that achieve the following monthly qualifications will be eligible for rebates through the Manual Billable Rebate Program, payable on a monthly basis:

Manual Billable Rebate Qualification	Rebate per Billable Side
<u>Execute 500,000 manual billable sides</u>	<u>(\$0.05)</u>
Execute 1.1 million [combined] manual billable sides[and QCC billable contracts]	[( <u>\$0.05</u> )] <u>(\$0.07)</u>
[Execute 3 million combined manual billable and QCC billable contracts]	[( <u>\$0.08</u> )]
Execute 5 million combined manual billable and QCC billable contracts	(\$0.10)
<u>Execute 7 million combined manual billable and QCC billable contracts</u>	<u>Additional</u> <u>(\$0.01)</u>
<u>Execute 11 million combined manual billable and QCC billable contracts</u>	<u>Additional</u> <u>(\$0.02)</u>

The Manual Billable Rebate (including the “Additional” rebates) is payable back to the first billable side. Qualifying Participants are eligible to receive only one “Additional” rebate.

Participants in the FB Prepay Program that achieve the following monthly qualifications may also qualify for a QCC Billable Bonus Rebate, payable on a monthly basis:

QCC Billable Bonus Rebate Qualification	Additional Rebate on Single Billable Side QCC Contract	Additional Rebate on Two Billable Side QCC Contract
Prepay Bonus Level - achieved with [2 million] <u>500,000 QCC billable contracts</u>	(\$0.02)	(\$0.04)
Additional Bonus Level - achieved with [100% above Prepay Bonus Level] <u>4 million QCC billable contracts</u>	(\$0.04)	(\$0.06)

The QCC Billable Bonus Rebate (including the Additional Bonus) is payable back to the first billable side. Qualifying Participants are eligible to receive only one “Additional” rebate.

Floor Broker credits paid for QCC trades and rebates paid through the Manual Billable Rebate Program shall not combine to exceed [~~\$2,000,000~~]\$2,500,000 per month per Floor Broker firm.

To participate in the FB Prepay Program, Floor Broker organizations must notify the Exchange in writing by emailing [optionsbilling@nyse.com](mailto:optionsbilling@nyse.com), indicating a commitment to submit prepayment for the following calendar year, by no later than the last business day of December in the current year. The email to enroll in the Program must originate from an officer of the Floor Broker organization and[, except as provided for below,] represents a binding commitment through the end of the following calendar year. Payment must be received in full by the close of business on the last business day of January. A Floor Broker organization that commits to the Program will be invoiced in January for Eligible Fixed Costs, based on annualizing their Eligible Fixed Costs incurred in November [2022]of the current year.

To participate in the FB Prepay Program after the first of the year, Floor Broker organizations must notify the Exchange in writing by emailing [optionsbilling@nyse.com](mailto:optionsbilling@nyse.com), indicating a commitment to submit prepayment for the balance of the calendar year. The email to enroll in the Program must originate from an officer of the Floor Broker organization and represents a binding commitment through the balance of the calendar year. The Floor Broker organization will be enrolled in the Program beginning on the first day of the next full month and will be invoiced for that first full month for Eligible Fixed Costs and for the balance of the year, based on annualizing for the remainder of the calendar year their Eligible Fixed Costs incurred in its first full month in the Program.

The Exchange will not issue any refunds in the event that a Floor Broker organization’s prepaid Eligible Fixed Costs exceeds actual [annual] costs.

**[2. Floor Broker Manual Billable Incentive Program]**

[Floor Brokers that achieve the following average daily manual billable contract levels in a month will earn the associated manual rebate, payable back to the first contract executed.]

[Average Daily Manual Billable Contracts]	[Manual Rebate per Billable Side]
[40,000]	[\$0.05]
[100,000]	[\$0.07]
[150,000]	[\$0.09]

#### Section IV. Monthly Floor Communication, Connectivity, Equipment and Booth or Podia Fees

These fees are assessed on Trading Floor participants as described in the table below.

<b>Description</b>	<b>Fees</b>
[Floor Broker Handheld]	[\$450 per device per month]
[Login]	[\$215 per month per Exchange sponsored Floor Broker order entry system ]
Transport Charges	\$150 per month per floor participant connection capped at \$500 per month per Floor Broker firm
Floor Market Maker Podia	90 per month for each floor market maker
Booth Premises	\$40 per linear foot per month
Telephone Service - Toll call billed by vendor plus a surcharge	Toll calls \$0.00 to \$0.68 incur a surcharge of \$0.16
	Toll calls of \$0.69 or greater incur a surcharge of \$0.26
Cellular Phones	\$20 per month plus the cost of the calling plan of the user's choice
Booth Telephone System - Line Charge	\$33.33 per phone number per month
Booth Telephone System - Single line phone jack and data jack	\$10.75 per month
Wire Services	Pass-through of fees from vendor
Turrets	Contracted directly with the vendor
Market Data	Contracted directly with the vendor
Telecom Move/Add/Change Fee	Pass-through of fees from vendor

\*\*\*\*\*