Additions <u>underlined</u>. Deletions [bracketed].

Rules of NYSE American LLC

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Rule 342. Association of Members, Member Organizations, and Persons Associated With Member Organizations

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(e) Except as otherwise permitted by the Exchange, no member, member organization, approved person, employee, or any person directly or indirectly controlling, controlled by or under common control with a member or member organization shall have associated with him or it any person who is known, or in the exercise of reasonable care should be known, to be subject to any "statutory disqualification" defined in Section 3(a)(39) of the Securities Exchange Act of 1934.

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• • • Supplementary Material:

.01 Statutory Disqualification Proceedings Pending Before Another SRO. The Exchange may waive the provisions of this Rule when a proceeding is pending before another self-regulatory organization to determine whether to permit a member or associated person of a member to become or continue membership or association notwithstanding a statutory disqualification. In the event the Exchange determines to waive the provisions of this Rule with respect to an existing or prospective member, member organization, approved person, employee, or any person directly or indirectly controlling, controlled by or under common control with a member or member organization, the Exchange shall determine whether it will concur in any Exchange Act Rule 19h-1 filing made by another self-regulatory organization with respect to such person.

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