

Additions underscored

Deletions [bracketed]

NYSE AMERICAN OPTIONS FEE SCHEDULE*

*NYSE American Options is the options trading facility of NYSE American LLC

Effective as of [August] September 29, 2023

Section VII. Regulatory Fees

- A. Options Regulatory Fee (“ORF”).** The ORF will be assessed to each ATP Holder for all options transactions that are cleared by the ATP Holder through the OCC in the customer range regardless of the exchange on which the transaction occurs. The Exchange uses reports from OCC when assessing and collecting the ORF. The ORF is not assessed on outbound linkage trades. The fee is collected from ATP Holder clearing firms by the OCC on behalf of NYSE American. An ATP Holder shall not be assessed the fee until it has satisfied applicable technological requirements necessary to commence operations on NYSE American. The Exchange will notify participants via a Trader Update of any change in the amount of the fee at least 30 calendar days prior to the effective date of the change.

Rate Per Contract
\$0.0055
<u>The Exchange will waive the ORF from October 1, 2023 to December 31, 2023 and recommence assessing the ORF as of January 1, 2024. Effective January 1, 2024, the ORF will be \$0.0038.</u>
