

Additions underscored
Deletions [bracketed]

NYSE AMERICAN OPTIONS FEE SCHEDULE*

*NYSE American Options is the options trading facility of NYSE American LLC

Effective as of August [1] 29, 2023

Section I. Options Transaction Fees and Credits

For the month in which the Exchange commences its migration to the Pillar platform (the “Migration Month”), ATP Holders and ATP Firms will receive the tier(s), incentive(s), and discount(s) achieved in the month prior to the Migration Month or the tier(s), incentive(s), and discount(s) achieved during the Migration Month, whichever are better.

A. Rates for Options transactions. The following transaction fees apply to executions in Option contracts.

Section II. Monthly Excessive Bandwidth Utilization Fees

Effective beginning in the month during which the Exchange commences its migration to the Pillar platform, the Monthly Excessive Bandwidth Utilization Fees will be waived. Such waiver will remain in effect for the six months following the month during which the migration is completed.

Section III. Monthly Trading Permit, Rights, Floor Access and Premium Product Fees

E. Floor Broker Incentive and Rebate Programs

For the month in which the Exchange commences its migration to the Pillar platform (the “Migration Month”), ATP Holders and ATP Firms will receive the tier(s), incentive(s), and discount(s) achieved in the month prior to the Migration Month or the tier(s), incentive(s), and discount(s) achieved during the Migration Month, whichever are better.

Section V. Technology & System Access Fees

A. Port Fees. The Exchange assesses a monthly Port Fee to any User for Ports to connect to the Exchange. For purpose of calculating the number of order/quote entry ports and quote takedown ports, the Exchange will aggregate the ports of Affiliates. The monthly Port Fees are charged according to the table below.

Effective beginning the day the Exchange commences its migration to the Pillar platform, fees for Order/Quote Entry Ports, Quote Takedown Ports, and Drop Copy Ports (collectively, “Port Fees”) will be capped based on the total number of such ports an ATP Holder or ATP Firm is billed for in the month preceding the beginning of the Exchange’s migration to the Pillar platform (the “Migration Cap”). The Migration Cap will remain in effect until the end of the month in which the migration to the Pillar platform is completed (the “Migration Period”). If, during the Migration Period, an ATP Holder or ATP Firm utilizes fewer ports than it did in the month preceding the beginning of the Pillar migration (i.e., incurs Port Fees below the Migration Cap), such ATP Holder or ATP Firm will be charged Port Fees only for the actual number of ports utilized.
