

Additions underscored  
Deletions [bracketed]

**NYSE AMERICAN OPTIONS FEE SCHEDULE\***

\*NYSE American Options is the options trading facility of NYSE American LLC

**Effective as of [December 18, 2020]January 1, 2021**

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**Section III. Monthly Trading Permit, Rights, Floor Access and Premium Product Fees**

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- B. Floor Access Fee.** \$125 per month for all registered Floor personnel that do not pay Monthly ATP Fees. Commencing [October 1<sup>st</sup>]January 1, 2021 through the earlier of the first full month of a full reopening of the Trading Floor facilities to Floor personnel or [December 2020]March 2021, this fee is waived for Floor Broker firms that are unable to operate at more than 50% of their March 2020 on-Floor staffing levels (or, for firms that began Floor operations after March 2020, are unable to operate at more than 50% of their Exchange-approved on-Floor staffing levels) and for Market Maker firms that have vacant or “unmanned” Podia for the entire month due to COVID-19 related considerations (the “Qualifying Firms”).

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**Section IV. Monthly Floor Communication, Connectivity, Equipment and Booth or Podia Fees**

These fees are assessed on Trading Floor participants as described in the table below. Commencing [October 1<sup>st</sup>]January 1, 2021 through the earlier of the first full month of a full reopening of the Trading Floor facilities to Floor personnel or [December 2020]March 2021, the Exchange will waive certain fees for Floor Broker firms that are unable to operate at more than 50% of their March 2020 on-Floor staffing levels (or, for firms that began Floor operations after March 2020, are unable to operate at more than 50% of their Exchange-approved on-Floor staffing levels) and for Market Maker firms that have vacant or “unmanned” Podia for the entire month due to COVID-19 related considerations (the “Qualifying Firms”). The fees waived for Qualifying Firms include those associated with Floor Broker Handheld, Transport Charges, Floor Market Maker Podia, Booth

Premises, and Wire Services as indicated below.

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