

Additions underscored

Deletions [bracketed]

### NYSE AMERICAN OPTIONS FEE SCHEDULE\*

\*NYSE American Options is the options trading facility of NYSE American LLC

Effective as of July 10, 2020

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#### Section I. Options Transaction Fees and Credits<sup>7</sup>

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**F. QCC Fees & Credits.** The table below describes the per contract fees and credits applicable to volume executed as part of a QCC trade.

<b>Participant</b>	<b>Per Contract Fee or Credit</b>
Customer and Professional Customer	\$0.00
Non-Customer excluding Specialists and e-Specialists	\$0.20
Specialists and e-Specialists	\$0.13
Floor Brokers executing 300,000 or fewer contracts in a month <sup>1</sup>	(\$0.07)
Floor Brokers executing more than 300,000 contracts in a month <sup>1</sup>	(\$0.10)

<sup>1</sup> Floor Brokers will not receive a credit for QCC trades that have a Customer or Professional Customer, or both, on both sides of the trade. For example, a Floor Broker executing as a QCC trade an order from a Customer buying 1,000 ABC Dec 40 Calls and

an order from a Professional Customer selling 1,000 ABC Dec 40 Calls at \$2.00 will not be eligible for the Floor Broker credits. The Floor Broker credit will not apply to any QCC trades that are included in the Strategy Cap [during the months of April, May and June 2020] (per Section I.J.). The Floor Broker credit is paid only on volume within the applicable tier and is not retroactive to the first contract traded. The maximum Floor Broker credit paid shall not exceed [~~\$425,000~~]\$525,000 per month per Floor Broker firm[ (the “Cap”), except that for the months of April, May and June 2020, the Cap would be \$625,000 per Floor Broker firm].

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**J. Strategy Execution Fee Cap.** There is a \$1,000 cap on transaction fees for options Strategy Executions involving (a) reversals and conversions, (b) box spreads, (c) short stock interest spreads, (d) merger spreads, and (e) jelly rolls, which are described below. The cap applies to all Strategy Executions on the same trading day. All Royalty Fees, described in Section I.K., associated with Strategy Executions on Index and ETFs will be passed through to trading participants on the Strategy Executions on a pro-rata basis and will not be included in the calculation of the \$1,000 per trade cap. Manual Broker-Dealer and Firm Strategy trades that do not reach the \$1,000 cap will be billed the rate specified in Section 1.A. for Manual transactions. Any qualifying Strategy Execution executed as a QCC order will not be eligible for this fee cap, except that [for the months of April, and May and June 2020] a reversal and conversion strategy executed as a QCC order will be eligible for this fee cap.

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