

Additions underscored
Deletions [bracketed]

NYSE AMERICAN RULES

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Section 900NY. Rules Principally Applicable to Trading of Option Contracts

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Rule 953.1NY. Limit-Up and Limit-Down During Extraordinary Market Volatility

[Rule 953.1NY(a), (b) and (c) shall be in effect during a pilot period that expires at the close of business on October 18, 2019.] Capitalized terms used in this Rule shall have the same meaning as provided for in the Plan to Address Extraordinary Market Volatility Pursuant to Rule 608 of Regulation NMS (“LULD Plan”).

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Rule 975NY. Nullification and Adjustment of Options Transactions including Obvious Errors

Unless otherwise stated, the provisions contained within this Rule are applicable to electronic transactions only.

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Commentary:

.01 - .02 (No change).

.03 Limit Up-Limit Down State. [The following policy (Rule 975NY) shall be in effect during a pilot period that expires at the close of business on October 18, 2019.] An execution will not be subject to review as an Obvious Error or Catastrophic Error pursuant to paragraph (c) or (d) of this Rule if it occurred while the underlying security was in a “Limit State” or “Straddle State,” as defined in the Plan to Address Extraordinary Market Volatility Pursuant to Rule 608 of Regulation NMS under the Act. Nothing in this provision shall prevent such execution from being reviewed on an Official’s own motion pursuant to sub-paragraph (c)(3) of this Rule, or a bust or adjust pursuant to paragraphs (e) through (j) and (l) of this Rule.

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