

Additions underscored

Deletions [bracketed]

**NYSE American Equities  
Price List**

**2018**

\* \* \* \* \*

**Last Updated: [July 26]December 20, 2018**

\* \* \* \* \*

**Co-Location Fees**

\* \* \* \* \*

| Type of Service                                                                                                                                                                                                                                                                                          | Description                                                                                                                                                                                  | Amount of Charge                                                                                                                                                                                                                                                                                                                                                        |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| * * * * *                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                                                         |
| Partial Cabinet Solution bundles<br>Note: A User and its Affiliates are limited to one Partial Cabinet Solution bundle at a time. A User and its Affiliates must have an Aggregate Cabinet Footprint of 2 kW or less to qualify for a Partial Cabinet Solution bundle. See Note 2 under "General Notes." | Option A:<br>1 kW partial cabinet, 1 LCN connection (1 Gb), 1 IP network connection (1 Gb), 2 fiber cross connections and either the Network Time Protocol Feed or Precision Timing Protocol | \$7,500 initial charge per bundle plus monthly charge per bundle as follows: <ul style="list-style-type: none"> <li>• For Users that order on or before December 31, [2018]<u>2019</u>: \$3,000 monthly for first 24 months of service, and \$6,000 monthly thereafter</li> <li>• For Users that order after December 31, [2018]<u>2019</u>: \$6,000 monthly</li> </ul> |

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| <p>Option B:<br/>                 2 kW partial cabinet, 1 LCN connection (1 Gb), 1 IP network connection (1 Gb), 2 fiber cross connections and either the Network Time Protocol Feed or Precision Timing Protocol</p>   | <p>\$7,500 initial charge per bundle plus monthly charge per bundle as follows:</p> <ul style="list-style-type: none"> <li>• For Users that order on or before December 31, [2018]2019: \$3,500 monthly for first 24 months of service, and \$7,000 monthly thereafter</li> <li>• For Users that order after December 31, [2018]2019: \$7,000 monthly</li> </ul>    |
| <p>Option C:<br/>                 1 kW partial cabinet, 1 LCN connection (10 Gb), 1 IP network connection (10 Gb), 2 fiber cross connections and either the Network Time Protocol Feed or Precision Timing Protocol</p> | <p>\$10,000 initial charge per bundle plus monthly charge per bundle as follows:</p> <ul style="list-style-type: none"> <li>• For Users that order on or before December 31, [2018]2019: \$7,000 monthly for first 24 months of service, and \$14,000 monthly thereafter</li> <li>• For Users that order after December 31, [2018]2019: \$14,000 monthly</li> </ul> |
| <p>Option D:<br/>                 2 kW partial cabinet, 1 LCN connection (10 Gb), 1 IP network connection (10 Gb), 2 fiber cross connections and either the Network Time Protocol Feed or Precision Timing Protocol</p> | <p>\$10,000 initial charge per bundle plus monthly charge per bundle as follows:</p> <ul style="list-style-type: none"> <li>• For Users that order on or before December 31, [2018]2019: \$7,500 monthly for first 24 months of service, and \$15,000 monthly thereafter</li> <li>• For Users that order after December 31, [2018]2019: \$15,000 monthly</li> </ul> |

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**NYSE AMERICAN OPTIONS FEE SCHEDULE\***

\* NYSE American Options is the options trading facility of NYSE American LLC

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**Effective as of [November 8]December 20, 2018**

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**Section V. Technology & System Access Fees**

\* \* \* \* \*

**B. Co-Location Fees.**

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| Type of Service                                                                                                                                                                                                                                                                                          | Description                                                                                                                                                                                  | Amount of Charge                                                                                                                                                                                                                                                                                                                                                                |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| * * * * *                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                                                                 |
| Partial Cabinet Solution bundles<br>Note: A User and its Affiliates are limited to one Partial Cabinet Solution bundle at a time. A User and its Affiliates must have an Aggregate Cabinet Footprint of 2 kW or less to qualify for a Partial Cabinet Solution bundle. See Note 2 under "General Notes." | Option A:<br>1 kW partial cabinet, 1 LCN connection (1 Gb), 1 IP network connection (1 Gb), 2 fiber cross connections and either the Network Time Protocol Feed or Precision Timing Protocol | \$7,500 initial charge per bundle plus monthly charge per bundle as follows: <ul style="list-style-type: none"> <li>• For Users that order on or before December 31, [2018]<del>2019</del>: \$3,000 monthly for first 24 months of service, and \$6,000 monthly thereafter</li> <li>• For Users that order after December 31, [2018]<del>2019</del>: \$6,000 monthly</li> </ul> |
|                                                                                                                                                                                                                                                                                                          | Option B:<br>2 kW partial cabinet, 1 LCN connection (1 Gb), 1 IP network connection (1 Gb), 2 fiber cross connections and either the Network Time Protocol Feed or Precision Timing Protocol | \$7,500 initial charge per bundle plus monthly charge per bundle as follows: <ul style="list-style-type: none"> <li>• For Users that order on or before December 31, [2018]<del>2019</del>: \$3,500 monthly for first 24 months of service, and \$7,000 monthly thereafter</li> <li>• For Users that order after December 31, [2018]<del>2019</del>: \$7,000 monthly</li> </ul> |

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|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>Option C:<br/>         1 kW partial cabinet, 1 LCN connection (10 Gb), 1 IP network connection (10 Gb), 2 fiber cross connections and either the Network Time Protocol Feed or Precision Timing Protocol</p> | <p>\$10,000 initial charge per bundle plus monthly charge per bundle as follows:</p> <ul style="list-style-type: none"> <li>• For Users that order on or before December 31, [2018]<u>2019</u>: \$7,000 monthly for first 24 months of service, and \$14,000 monthly thereafter</li> <li>• For Users that order after December 31, [2018]<u>2019</u>: \$14,000 monthly</li> </ul> |
| <p>Option D:<br/>         2 kW partial cabinet, 1 LCN connection (10 Gb), 1 IP network connection (10 Gb), 2 fiber cross connections and either the Network Time Protocol Feed or Precision Timing Protocol</p> | <p>\$10,000 initial charge per bundle plus monthly charge per bundle as follows:</p> <ul style="list-style-type: none"> <li>• For Users that order on or before December 31, [2018]<u>2019</u>: \$7,500 monthly for first 24 months of service, and \$15,000 monthly thereafter</li> <li>• For Users that order after December 31, [2018]<u>2019</u>: \$15,000 monthly</li> </ul> |

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