

Additions underscored.
Deletions are [bracketed].

Rules of the NYSE American, LLC

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Equities Rules

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Cash Equities Pillar Platform Rules (Rules 1E—13E)

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Rule 7E - EQUITIES TRADING

Section 1. General Provisions

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Rule 7.14. Clearance and Settlement

(a) - (b) (No change).

(c) Each clearing firm must be admitted to the Exchange as an ETP Holder by meeting the qualification requirements set forth in Rule 2 - Equities[; provided, however, if the clearing firm has become an ETP Holder for the sole purpose of acting as a clearing firm on the Exchange, such clearing firm need not pay the regular ETP Holder fee]. The clearing firm shall be responsible for the clearance of the transactions effected by each ETP Holder which gives up such clearing firm's name pursuant to a letter of authorization, letter of guarantee or other authorization given by such clearing firm to such ETP Holder, which authorization shall be submitted to the Exchange.

(d) (No change).

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