

Additions underscored

Deletions [bracketed]

NYSE AMERICAN OPTIONS FEE SCHEDULE*

*NYSE American Options is the options trading facility of NYSE American LLC

Effective as of [June 27]August 1, 2017

Section I. Options Transaction Fees and Credits

A. Rates for Standard Options transactions.⁵ The following transaction fees apply to executions in Standard Option contracts.

Participant	Penny/Non-Penny	Rate Per Contract For Electronic Transactions	Marketing Charges Per Contract for Electronic Transactions³	Rate Per Contract Manual Transactions
Broker-Dealer ^{1,6,7}	Penny	\$0.50	N/A	\$0.25
	Non-Penny	\$0.75	N/A	\$0.25
Customer	Penny	\$0.00	N/A	\$0.00
	Non-Penny	\$0.00	N/A	\$0.00
DOMM ^{1,2,3,6}	Penny	\$0.25	\$0.25	N/A
	Non-Penny	\$0.25	\$0.70	N/A
e-Specialist ^{1,2,3,6}	Penny	\$0.25	\$0.25	\$0.13
	Non-Penny	\$0.25	\$0.70	\$0.13
Firm ^{1,4,6,7}	Penny	[\$0.42] <u>\$0.47</u>	N/A	\$0.25
	Non-Penny	\$0.75	N/A	\$0.25

Firm Facilitation ¹	Penny	N/A	N/A	\$0.00
	Non-Penny	N/A	N/A	\$0.00
NYSE American Options Market Maker ^{1,2,3,6}	Penny	\$0.25	\$0.25	\$0.20
	Non-Penny	\$0.25	\$0.70	\$0.20
Non-NYSE American Options Market Maker ^{1,2,6,7}	Penny	\$0.50	N/A	\$0.25
	Non-Penny	\$0.75	N/A	\$0.25
Professional Customer ^{1,6,7}	Penny	\$0.50	N/A	\$0.25
	Non-Penny	\$0.75	N/A	\$0.25
Specialist ^{1,2,3,6}	Penny	\$0.25	\$0.25	\$0.13
	Non-Penny	\$0.25	\$0.70	\$0.13

- ^{7.} An ATP Holder that transacts Electronic volume as a Broker-Dealer, Firm, Non-NYSE American Market Maker, or Professional Customer (i.e., as a “Non-Customer, Non-Market Maker”) of at least 0.05% of TCADV above that ATP Holder’s 2nd Quarter 2017 Non-Customer, Non-Market Maker Electronic volume is eligible for reduced rates on Electronic executions of \$0.36 per contract for Penny Pilot Issues and \$0.60 per contract in Non-Penny Pilot Issues excluding volume in CUBE, QCC, Strategy Executions, or volume attributable to orders routed to another exchange in connection with the Options Order Protection and Locked/Crossed Market Plan referenced in Rule 991NY.
