

Additions underlined.
 Deletions [bracketed].

Rules of New York Stock Exchange LLC

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Rule 345A. Continuing Education For Registered Persons

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.70 Eligibility of Other Persons to Participate in the Continuing Education Program Specified in Section (c) of this Rule. A person registered in a representative or principal registration category with the Exchange within two years immediately preceding May 25, 2022 shall be eligible to participate in the continuing education program under Section (c) of Rule 345A, provided that he or she satisfies the conditions set forth in Sections (c)(1) and (c)(3) through (c)(6) of Rule 345A. In addition, a person participating in the Financial Services Affiliate Waiver Program under Rule 1210, Commentary .08, immediately preceding May 25, 2022 shall be eligible to participate in the continuing education program under Section (c) of Rule 345A, provided that he or she satisfies the conditions set forth in Sections (c)(3), (c)(5) and (c)(6) of Rule 345A. Persons eligible under this Supplementary Material .70 shall make their election to participate in the continuing education program under Section (c) of Rule 345A either (1) by May 25, 2022; or (2) between June 7, 2023, and December 31, 2023. If such persons elect to participate in the continuing education program, their participation period shall also be for a period of five years following the termination of their registration categories, as with other participants under Section (c) of Rule 345A. [In addition, eligible persons who elect to participate in the continuing education program between June 7, 2023, and December 31, 2023, must complete any prescribed 2022 and 2023 continuing education content by March 31, 2024.] Individuals enrolled in the continuing education program under this Supplementary Material .70 in both 2022 and 2023 who did not complete their prescribed 2022 and 2023 continuing education content as of March 31, 2024, shall be able to complete such content between [the effective date of filing], and July 1, 2024, to be eligible to continue their participation in the continuing education program. In addition, any such individuals who will have completed their prescribed 2022 and 2023 continuing education content between March 31, 2024, and [the effective date of filing], shall be deemed to have completed such content by July 1, 2024, for purposes of this Supplementary Material .70.

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