

Additions: Underlined
Deletions: [Bracketed]

Rules of the NYSE Arca, Inc.

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Rule 6-O OPTIONS TRADING

Rules with a “P” modifier are operative for symbols that are trading on the Pillar trading platform. If a symbol is trading on the Pillar trading platform, a rule with the same number as a rule with a “P” modifier will no longer be operative for that symbol. The Exchange will announce by Trader Update when symbols are trading on the Pillar trading platform.

Rules Principally Applicable to Trading of Option Contracts

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Rule 6.40P-O. Pre-Trade and Activity-Based Risk Controls

(a) The following are definitions for purposes of this Rule:

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(2) “Pre-Trade Risk Controls” refer to the following optional limits, each of which an Entering Firm may utilize with respect to its trading activity on the Exchange (excluding interest represented in open outcry, except CTB Orders).

(A) “Single-Order Risk Controls” refer to the following:

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(iv) controls to restrict the options classes transacted; [and]

(v) controls to prohibit duplicative orders[.]; and

(vi) controls to restrict the overall rate of orders.

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