

Additions: Underlined
Deletions: [Bracketed]

Rules of New York Stock Exchange LLC

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Rule 7.31. Orders and Modifiers

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(e) Orders with Instructions Not to Route

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(3) Intermarket Sweep Order ("ISO"). A Limit Order that does not route and meets the requirements of Rule 600(b)(38[0]) of Regulation NMS. ISOs are not available to Floor brokers.

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Rule 5320. Prohibition Against Trading Ahead of Customer Orders

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• • • *Supplementary Material:*

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.04 ISO Exception. A member organization shall be exempt from the obligation to execute a customer order in a manner consistent with this Rule with regard to trading for its own account that is the result of an intermarket sweep order routed in compliance with Rule 600(b)(38[0])(ii) of SEC Regulation NMS ("ISO") where the customer order is received after the member organization routed the ISO. Where a member organization routes an ISO to facilitate a customer order and that customer has consented to not receiving the better prices obtained by the ISO, the member organization also shall be exempt with respect to any trading for its own account that is the result of the ISO with respect to the consenting customer's order.

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Rule 6140. Other Trading Practices

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(a) No member, member organization, principal executive, approved person, registered or non-registered employee of a member or member organization or person otherwise subject to the jurisdiction of the Exchange shall execute or cause to be executed or participate in an account for which there are executed purchases of any NMS stock as defined in Rule 600(b)(55[47]) of SEC Regulation NMS ("designated security") at successively higher prices, or sales of any such security at successively lower prices, for the purpose of creating or inducing a false, misleading or artificial appearance of activity in such security or for the purpose of unduly or improperly influencing the market price for such security or for the purpose of establishing a price which does not reflect the true state of the market in such security.

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Rule 6810. Consolidated Audit Trail – Definitions

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(y) "Listed Option" or "Option" have the meaning set forth in Rule 600(b)(43[5]) of Regulation NMS.

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(qq) "Trading Day" shall have the meaning as is determined by the Operating Committee. For the avoidance of doubt, the Operating Committee may establish different Trading Days for NMS Stocks (as defined in SEC Rule 600(b)(55[47])), Listed Options, OTC Equity Securities, and any other securities that are included as Eligible Securities from time to time.

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