

Deletions: [Bracketed]

Rules of New York Stock Exchange LLC

Admission of Members (Rules 300-324)

Rule 308. Acceptability Proceedings

(d) In any proceeding under this rule involving, as an applicant therein, a prospective member, member organization, principal executive, or non-member broker/dealer accessee, the members of the Hearing Board serving on the Acceptability Committee shall be members or principal executives who, to the extent reasonably possible, are engaged in similar activities as the applicant proposes to engage in, or have knowledge of those activities. In any such proceeding relating to proposed activities on the Floor of the Exchange, all persons serving on the Acceptability Committee shall be members active on the Floor of the Exchange. In any such proceeding relating to any other proposed activities, all persons serving on the Acceptability Committee shall work in the offices of a [member or] member organization which engages in a business involving substantial direct contact with securities customers.
