

Additions: Underlined
Deletions: [Bracketed]

Rules of New York Stock Exchange LLC

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Rule 5P SECURITIES TRADED

[The Exchange will not list any Exchange Traded Product pursuant to this Rule that has any component NMS Stock that is listed on the Exchange or that is based on, or represents an interest in, an underlying index or reference asset that includes an NMS Stock listed on the Exchange.]

Rule 5.1 Unlisted Trading Privileges

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Rule 8P TRADING OF CERTAIN EXCHANGE TRADED PRODUCTS

[The Exchange will not list any Exchange Traded Product pursuant to this Rule that has any component NMS Stock that is listed on the Exchange or that is based on, or represents an interest in, an underlying index or reference asset that includes an NMS Stock listed on the Exchange.]

Section 1.Currency and Index Warrants

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Rule 98.Operation of a DMM Unit

(a) Applicability

- (1) The provisions of this rule shall apply to all member organizations seeking to operate a DMM unit at the Exchange and any approved person that may provide services to a DMM unit.

(b) Definitions

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- (7) "Related products" means any derivative instrument that is related to a DMM security, except for Exchange Traded Products but including options, warrants, hybrid securities, single-stock futures, security-based swap agreement, a forward contract, or any other instrument that is exercisable into or whose price is based

upon or derived from a security traded at the Exchange.

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