

Additions underlined  
 Deletions [bracketed]

**New York Stock Exchange  
 Price List 2019**

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**Last Updated: [August 15]September 3, 2019**

**Transaction Fees\***

Regular Session Trading<sup>1</sup>

Transactions in stocks with a per share stock price of \$1.00 or more

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Executions at the Close Equity Per Share Charge – per transaction (both sides) –

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Equity per Share Charge <sup>3</sup> – per transaction – for MPL orders that remove liquidity from the NYSE (Adding Tier Credits do not apply) and are designated with a Retail Modifier as defined in Rule 13	\$0.0010
Equity per Share Credit – per transaction – for all MPL orders <u>in Tapes A, B and C securities</u> , other than MPL orders from Designated Market Makers (“DMMs”), that add liquidity to the NYSE (Adding Tier Credits do not apply)  Unless the higher credit set forth immediately below applies	\$0.0010[0]

<p>If the member organization has Adding ADV in MPL orders that is at least <u>0.0075%</u> of Tapes A, B and C CADV combined, <u>excluding any liquidity added by a DMM</u></p>	<p><u>\$0.0020</u></p>
<p>If the member organization has Adding ADV in MPL orders that is at least <u>0.015</u>[30]% of [NYSE CADV]<u>Tapes A, B and C CADV combined</u>, excluding any liquidity added by a DMM</p>	<p>\$0.00250</p>
<p>If the member organization has Adding ADV in MPL orders that is at least <u>0.075</u>[140]% of [NYSE CADV]<u>Tapes A, B and C CADV combined</u>, excluding any liquidity added by a DMM</p>	<p>\$0.00275</p>

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Transaction Fees and Credits For Securities Traded Pursuant to Unlisted Trading Privileges (Tapes B and C) on the Pillar Trading Platform

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Category	Adding Liquidity	Removing Liquidity	
<p>Securities at or above \$1.00</p>	<p>(\$0.0020) per share (displayed) unless a credit below applies</p> <p>No charge (non-displayed)</p> <p>[((\$0.0010) p]Per share in each tape (MPL) [unless a credit below</p>	<p>\$0.0030 per share unless, including MPL orders, unless the Floor broker fee or Remove Tier fees below applies</p> <p>\$0.0026 per share (Floor brokers)</p>	

Category	Adding Liquidity	Removing Liquidity	
	<p>applies] <u>as specified above following the “Executions at the Close Equity Per Share Charge” section</u></p> <p>(\$0.0030) per share (Retail)</p> <p>(\$0.0026) per share (displayed) (Floor brokers)</p> <p>Cross trades (i.e., a trade where a Floor broker executes customer orders to buy and sell an equivalent amount of the same security) – (\$0.0006)</p>		
Securities below \$1.00	No Charge	0.3% of the total dollar value of the transaction	

<b>Adding Tiers For Securities At or Above \$1.00</b>	<b>Tier 2</b>	<b>Tier 1</b>
Per-Tape Requirement (Non-SLP and Floor broker Adding % Tape CADV)	0.03% (including shares of both an SLP-Prop and an SLMM of the same or an affiliated member organization)	0.10% (including shares of both an SLP-Prop and an SLMM of the same or an affiliated member organization)
Per-Tape Non-SLP and Floor broker Display Adding Rate	(\$0.0023) per share	(\$0.0026) per share[, (\$0.0025) if MPL order,] on a per Tape basis

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