

Additions: Underlined
 Deletions: [Bracketed]

Rules of New York Stock Exchange LLC

Rule 103B. Security Allocation and Reallocation

IV. POLICY NOTES

(F) Allocation of [Group of] Closed-End Management Investment Companies ("Funds") or Exchange Traded Products ("ETPs") from the Same Issuer

(1) Two-Year Allocation Policy

(a) The first time an issuer seeks to list a Fund or ETP on the Exchange, the issuer [Funds listing on the Exchange pursuant to this policy] will be subject to the allocation process pursuant to [NYSE Rule 103B,] Section III of this Rule, unless the listed security is eligible for an allocation under Section VIII of this Rule.

(b) If the issuer of a[n initial] Fund or ETP listed on the Exchange lists additional [f]Funds or ETPs within [nine months] two years from the date of [its initial listing] an allocation pursuant to Section III of this Rule, the issuer may choose to maintain the same DMM unit for those subsequently listed [f]Funds or ETPs or it may select a different DMM unit from the group of eligible DMM units that the issuer interviewed or reviewed in the allocation process for its initial [f]Fund or ETP. The [fund] issuer may also delegate the selection of its DMM unit to the Exchange if it so chooses pursuant to [NYSE Rule 103B,]Section III(B) of this Rule.

(c) After the two-year period ends, if the issuer seeks to list additional Funds or ETPs on the Exchange, it will be subject to the allocation process pursuant to either Section III or VIII of this Rule.

(d) If a DMM unit is ineligible [from participating]to participate in an allocation as set forth in [NYSE Rule 103B,]Section III of this Rule at the time of a subsequent new Fund or ETP listing (within the designated [nine month] two-year period), that DMM unit will not be included for

consideration for subsequent listings during the Penalty Period as described in Section II(J) of this Rule.

VIII. PROVISIONS FOR ALLOCATION OF [LISTING COMPANIES] LISTED SECURITIES TRANSFERRING FROM NYSE ARCA, INC. ("NYSE ARCASM") TO THE NYSE

(A) If a [listing company] listed security transferring from NYSE ArcaSM to the NYSE was assigned a NYSE Arca Lead Market Maker unit ("LMM firm")[,] [which] that is also a registered DMM unit on the NYSE, then the [listing company] issuer may waive the allocation process described [above] in Section III of this Rule and select as its registered DMM unit the same unit that was previously assigned as the NYSE ArcaSM LMM unit. Alternatively, the [listing company] issuer can choose to follow the regular allocation process [and refer the matter for allocation through the allocation process] pursuant to [NYSE Rule 103B,] Section III of this Rule.

(B) If the [listing company] issuer chooses to have its DMM unit selected by the Exchange pursuant to [NYSE Rule 103B,] Section III(B) of this Rule, and requests not to be allocated to the DMM unit that was its NYSE ArcaSM LMM firm, such request will be honored.