

Additions underscored  
 Deletions [bracketed]

**New York Stock Exchange  
 Price List 2019**

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**Last Updated: May [1]9, 2019**

**Transaction Fees<sup>\*</sup>**

Regular Session Trading<sup>1</sup>

Transactions in stocks with a per share stock price of \$1.00 or more

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Executions at the Close Equity Per Share Charge – per transaction (both sides) –

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Equity per Share Credit – per transaction – for all transactions of orders, other than MPL and Non-Display Reserve orders, designated as “retail” (i.e., orders that satisfy the Retail Modifier requirements of Rule 13) that add liquidity to the NYSE	\$0.0030
Equity per Share Charge <sup>3</sup> for all other non-Floor broker transactions (i.e., when taking liquidity from the NYSE) unless the charge set forth immediately below applies - per transaction	\$0.00[275] <u>30</u>
Equity per Share Charge <sup>3</sup> for all other non-Floor broker transactions (i.e., when taking liquidity from the NYSE) – if the Member Organization has Adding ADV, excluding any liquidity added by a DMM, that is at least 250,000 ADV <sup>4</sup> on the NYSE in Tape A Securities and less than 500,000 ADV on the NYSE in Tape B and Tape C securities combined during the billing month	\$0.00[280] <u>285</u>

<p>Equity per Share Charge<sup>3</sup> for all other non-Floor broker transactions (i.e., when taking liquidity from the NYSE) – if the Member Organization has Adding ADV, excluding any liquidity added by a DMM, that is [less than]at least 250,000 ADV<sup>4</sup> on the NYSE <u>in Tape A Securities and at least 500,000 ADV on the NYSE in Tape B and Tape C securities combined</u> during the billing month</p>	<p>\$0.00[30]275</p>
<p style="text-align: center;">* * * * *</p>	<p style="text-align: center;">* * * * *</p>
<p>Credit per Share - for executions of orders, other than MPL orders, sent to the Floor broker for representation on the NYSE when adding liquidity to the NYSE Display Book system if the Member Organization has an ADV<sup>4</sup> that adds liquidity to the NYSE by the Floor broker during the billing month that is at least:</p> <p>(a) .07% of CADV.....</p> <p>(b) .25% of CADV.....</p> <p>([b]c) .33% of CADV.....</p>	<p>\$0.0020</p> <p>\$0.0021</p> <p>\$0.0022</p>

Transactions in stocks with a per share stock price less than \$1.00

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Transaction Fees and Credits For Securities Traded Pursuant to Unlisted Trading Privileges (Tapes B and C) on the Pillar Trading Platform

Fees and Credits applicable to Market Participants

- Rebates indicated by parentheses ( ).
- For purposes of determining transaction fees and credits in UTP Securities based on quoting levels, average daily volume (“ADV”) and consolidated ADV (“CADV”) below, the Exchange may exclude shares traded any day that (1) the Exchange is not open for the entire trading day and/or (2) a disruption affects an Exchange system that lasts for more than 60 minutes during regular trading hours.

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<p><b>Adding Tiers For Securities At or Above \$1.00</b></p> <p>Per-Tape Requirement (Client Adding % Tape CADV)</p> <p>Per-Tape Client Display Adding Rate</p>	<p><b>Tier 2</b></p> <p>0.03% (including shares of both an SLP-Prop and an SLMM of the same or an affiliated member organization)</p> <p>(\$0.0023) per share</p>	<p><b>Tier 1</b></p> <p>0.10% (including shares of both an SLP-Prop and an SLMM of the same or an affiliated member organization)</p> <p>(\$0.0026) per share, (\$0.0025) if MPL order, on a per Tape basis</p>
<p><b>Remove Tier For Securities At or Above \$1.00</b></p> <p>Per-Tape Requirement (Client Adding ADV<sup>4</sup>)</p>		<p>At least 50,000 shares Adding ADV<sup>4</sup>: \$0.00285 per share for removing per Tape</p>

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