

Additions underscored
Deletions [bracketed]

NYSE Listed Company Manual

204.12 Dividends and Stock Distributions

Version A: This Version A of the first paragraph of Section 204.12 will remain operative until the Exchange notifies listed companies that Version B of the first paragraph of Section 204.12 (below) is operative.

Prompt notice will be given to the Exchange as to any dividend action or action relating to a stock distribution in respect of a listed stock (including the omission or postponement of a dividend action at the customary time as well as the declaration of a dividend). Such notice is in addition to immediate publicity and should be given at least ten days in advance of the record date. The dividend notice should be given to the Exchange in accordance with Section 204.00. Notice should be given as soon as possible after declaration and in any event, no later than simultaneously with the announcement to the news media. The notice should include:

Version B: This Version B of the first paragraph of Section 204.12 will be operative on February 1, 2018 or such earlier date as the Exchange notifies listed companies that this Version B is operative.

Prompt notice will be given to the Exchange as to any dividend action or action relating to a stock distribution in respect of a listed stock (including the omission or postponement of a dividend action at the customary time as well as the declaration of a dividend). Such notice is in addition to immediate publicity and should be given at least ten days in advance of the record date. The dividend notice should be given to the Exchange in accordance with Section 204.00. Notice should be given as soon as possible after declaration and in any event, no later than 10 minutes before the announcement to the news media (including when the notice is to be issued outside of Exchange trading hours). The notice should include:

204.21 Record Date

Version A: This Version A of the first paragraph of Section 204.21 will remain operative until the Exchange notifies listed companies that Version B of the first paragraph of Section 204.21 (below) is operative.

Prompt notice is required to be given to the Exchange of the fixing of a date for the taking of a record of shareholders, or for the closing of transfer books (in respect of a listed security), for any purpose. The notice should state the purpose or purposes for which the record date has been fixed. This notice should be provided to the Exchange in accordance with Section 204.00.

Version B: This Version B of the first paragraph of Section 204.21 will be operative on February 1, 2018 or such earlier date as the Exchange notifies listed companies that this Version B is operative.

Prompt notice is required to be given to the Exchange of the fixing of a date for the taking of a record of shareholders, or for the closing of transfer books (in respect of a listed security), for any purpose. The notice should state the purpose or purposes for which the record date has been fixed. This notice should be provided to the Exchange in accordance with Section 204.00. In the case of a dividend or stock distribution, the notice must be provided to the Exchange at least 10 minutes before the issuance of any public announcement with respect to the dividend or stock distribution (including when the notice is to be issued outside of Exchange trading hours). (See Section 204.12 (Dividends and Stock Distributions))

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