

**EXHIBIT 5**

Additions underscored  
 Deletions [bracketed]

New York Stock Exchange  
 Price List 2014

**Last Updated: March 1, 2014**

**Transaction Fees**

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Transactions in stocks with a per share stock price of \$1.00 or more

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Tier 1 Adding Credit – Equity per Share Credit – per transaction – when adding liquidity to the NYSE if (i) the Member Organization has <sup>4</sup>ADV that adds liquidity in customer electronic orders to the NYSE (“Customer Electronic Adding ADV,” which shall exclude any liquidity added by a Floor broker, Designated Market Maker, or Supplemental Liquidity Provider (“SLP”)) during the billing month that is at least 1.25% of NYSE CADV, and executes MOC and LOC orders of at least 0.12% of NYSE CADV, or (ii) the Member Organization has Customer Electronic Adding ADV during the billing month that is at least 0.85% of NYSE CADV, executes MOC and LOC orders of at least 0.12% of NYSE CADV, and either (a) adds liquidity to the NYSE as an SLP for all assigned SLP securities in the aggregate (including shares of both an SLP proprietary trading unit (“SLP-Prop”) and an SLP market maker (“SLMM”) of the same member organization) of more than 0.3% of NYSE CADV or (b) adds liquidity to the NYSE as a Floor broker of more than 0.3% of NYSE CADV.....

\$0.0022; or \$0.0010 if a Non-Displayed Reserve Order, \$0.0015 if an MPL Order

Tier [1] 2 Adding Credit – Equity per Share Credit – per transaction – when adding liquidity to the NYSE if (i) the Member Organization has Customer Electronic Adding ADV<sup>4</sup> [ADV that adds liquidity to the NYSE during the billing month (“Adding ADV,” which shall exclude any liquidity added by a Designated Market Maker)<sup>4</sup>] that is at least [1.5]1.1% of NYSE CADV, and executes MOC and LOC orders of at least 0.375% of NYSE CADV, (ii) the Member Organization has ADV that adds liquidity to the NYSE during the billing month (“Adding ADV,” which shall exclude any liquidity added by a Designated Market Maker) [Adding ADV] that is at least 0.8% of NYSE CADV, executes MOC and LOC orders of at least 0.12% of NYSE CADV, and adds liquidity to the NYSE as an SLP [a Supplemental Liquidity Provider (“SLP”)] for all assigned SLP securities in the aggregate (including shares of both an SLP-Prop and SLMM [SLP proprietary trading unit (“SLP-Prop”) and an SLP market maker (“SLMM”)] of the same member organization) of more than 0.15% of NYSE CADV, or (iii) the Member Organization has Customer Electronic Adding ADV [ADV that adds liquidity in customer electronic orders to the

\$0.0020; or \$0.0010 if a Non-Displayed Reserve Order, \$0.0015 if an MPL Order

<sup>4</sup> No change.

NYSE ("Customer Electronic Adding ADV," which shall exclude any liquidity added by a Floor broker, Designated Market Maker, or SLP)] during the billing month that is at least 0.5% of NYSE CADV, executes MOC and LOC orders of at least 0.12% of NYSE CADV, and has Customer Electronic Adding ADV during the billing month that, taken as a percentage of NYSE CADV, is at least equal to the Member Organization's Customer Electronic Adding ADV during September 2012 as a percentage of consolidated average daily volume in NYSE-listed securities during September 2012 plus 15%.....

Tier [2] <u>3</u> Adding Credit – Equity per Share Credit – per transaction – when adding liquidity to the NYSE if the Member Organization has Adding ADV that is at least 0.20% of NYSE CADV and executes MOC and LOC orders of at least 0.10% of NYSE CADV .....	\$0.0017; or \$0.0010 if a Non-Displayed Reserve Order, \$0.0015 if an MPL Order
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Credit per Share - for executions of orders sent to the floor broker for representation on the NYSE when adding liquidity to the NYSE Display Book system unless the higher credits set forth immediately below apply .....	\$0.0019; or the Tier 1 Adding Credit or <u>Tier 2 Adding Credit</u> for qualifying member organizations; or \$0.0015 if an MPL Order
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### Credit Applicable to Supplemental Liquidity Providers

Credit per Share - per transaction - for SLPs - when adding liquidity to the NYSE in securities with a per share price of \$1.00 or more, if the SLP does not qualify for the higher credit set forth below .....	The applicable non-Tier Adding Credit, <u>Tier 3 Adding Credit</u> , Tier 2 Adding Credit or Tier 1 Adding Credit; or \$0.0010 if a Non-Displayed Reserve Order, \$0.0015 if an MPL Order
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