

EXHIBIT 5

Additions underscored
Deletions [bracketed]

New York Stock Exchange
Price List 2013

Last Updated: July 1, 2013

Transaction Fees

Regular Session Trading¹

Transactions in stocks with a per share stock price of \$1.00 or more

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Tier 1 Adding Credit – Equity per Share Credit – per transaction – when adding [displayed] liquidity to the NYSE if (i) the Member Organization has ADV that adds liquidity to the NYSE during the billing month (“Adding ADV,” which shall exclude any liquidity added by a Designated Market Maker)⁴ that is at least 1.5% of NYSE CADV, and executes MOC and LOC orders of at least 0.375% of NYSE CADV, (ii) the Member Organization has Adding ADV that is at least 0.8% of NYSE CADV, executes MOC and LOC orders of at least 0.12% of NYSE CADV, and adds liquidity to the NYSE as a Supplemental Liquidity Provider (“SLP”) for all assigned SLP securities in the aggregate (including shares of both an SLP proprietary trading unit (“SLP-Prop”) and an SLP market maker (“SLMM”) of the same member organization) of more than 0.15% of NYSE CADV, or (iii) the Member Organization has ADV that adds liquidity in customer electronic orders to the NYSE (“Customer Electronic Adding ADV,” which shall exclude any liquidity added by a Floor broker, Designated Market Maker, or SLP) during the billing month that is at least 0.5% of NYSE CADV, executes MOC and LOC orders of at least 0.12% of NYSE CADV, and has Customer Electronic Adding ADV during the billing month that, taken as a percentage of NYSE CADV, is at least equal to the Member Organization’s Customer Electronic Adding ADV during September 2012 as a percentage of consolidated average daily volume in NYSE-listed securities during September 2012 plus 15% Order

\$0.0018; or \$0.0010 if a Non-Displayed Reserve

¹ Does not apply to transactions by members acting as a Designated Market Maker for own account.

Tier 2 Adding Credit – Equity per Share Credit – per transaction –
when adding [displayed] liquidity to the NYSE if the Member \$0.0017; or \$0.0010 if a
Organization has Adding ADV that is at least 0.20% of NYSE CADV Non-Displayed Reserve
and executes MOC and LOC orders of at least 0.10% of NYSE CADV .. Order

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Credit Applicable to Supplemental Liquidity Providers

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Credit per Share – per transaction – for SLPs – when adding liquidity
to the NYSE in securities with a per share price of \$1.00 or more, if
the SLP (i) meets the 10% average or more quoting requirement in an
assigned security pursuant to Rule 107B (quotes of an SLP-Prop and
an SLMM of the same member organization shall not be aggregated),
(ii) adds liquidity for all assigned SLP securities in the aggregate
(including shares of both an SLP-Prop and an SLMM of the same
member organization) of an ADV⁴ of more than 0.22% of NYSE
CADV⁸, (iii) adds liquidity for all assigned SLP securities in the
aggregate (including shares of both an SLP-Prop and an SLMM of the
same member organization) of an ADV during the billing month that
is at least a 0.18% increase over the SLP’s September 2012 Adding
ADV (“SLP Baseline ADV”), and (iv) has a minimum provide ADV \$0.0025; or \$0.0020 if a
for all assigned SLP securities of 12 million shares Non-Displayed Reserve
Order

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