

Additions underscored
Deletions [bracketed]

New York Stock Exchange
Price List 2012

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Last Updated: November [1]9, 2012

Transaction Fees

Regular Session Trading¹

Transactions in stocks with a per share stock price of \$1.00 or more

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Non-electronic agency transactions between [f]Floor brokers in the crowd..... No Charge

Non-electronic agency transactions of Floor brokers that execute against the Book No Charge

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Equity per Share Charge³ – per transaction – for a Floor broker Discretionary e-Quote (“d-Quote”) that removes liquidity \$0.0005

Equity per Share Charge³ for all other Floor broker transactions (i.e., when taking liquidity from the NYSE) - per transaction \$0.0024

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Transactions in stocks with a per share stock price less than \$1.00

Equity per Share Charge - per transaction - when adding liquidity to the NYSE in any stock with a per share stock price below \$1.00 (displayed and non-displayed) No Charge

Agency cross trades (i.e., a trade where a Member Organization has customer orders to buy and sell an equivalent amount of the same security) No Charge

Non-electronic agency transactions between Floor brokers in the crowd..... No Charge

Non-electronic agency transactions of Floor brokers that execute against the Book No Charge

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¹ Does not apply to transactions by members acting as a Designated Market Maker for own account.

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³ Includes shares, rights and warrants.

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