

SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-105914; File No. 4-757]

Joint Industry Plan; Notice of Filing and Immediate Effectiveness of the Fourth Amendment to the Limited Liability Company Agreement of CT Plan LLC

July 14, 2026.

Pursuant to section 11A of the Securities Exchange Act of 1934 (“Exchange Act” or “Act”),¹ and Rule 608 thereunder,² notice is hereby given that on July 6, 2026, the Chair of the Operating Committee³ to the Limited Liability Agreement of CT Plan LLC (“CT Plan”) filed with the Securities and Exchange Commission (“Commission”) a proposal to amend the CT Plan. The amendment represents the Fourth Amendment to the CT Plan (“Amendment”). Under the Amendment, the Operating Committee proposes to add Texas Stock Exchange LLC as a Member to the CT Plan and to reflect that Nasdaq BX has changed its name to Nasdaq Texas⁴

The proposed Amendment has been filed pursuant to Rule 608(b)(3)(ii) under Regulation NMS⁵ as concerned solely with the administration of the CT Plan and as a “Ministerial Amendment” under Section 13.5 of the CT Plan. As a result, the Amendment can be submitted by the Chair of the CT Plan’s Operating Committee and becomes effective upon filing.

The Commission is publishing this notice to solicit comments on the Amendment from

¹ 15 U.S.C. 78k-1(a)(3).

² 17 CFR 242.608.

³ The current Members of the CT Plan are: 24X National Exchange LLC, Cboe BYX Exchange, Inc., Cboe BZX Exchange, Inc., Cboe EDGA Exchange, Inc., Cboe EDGX Exchange, Inc., Cboe Exchange, Inc., Financial Industry Regulatory Authority, Inc., Investors Exchange LLC, Long Term Stock Exchange, Inc., MEMX LLC, MIAX PEARL, LLC, Nasdaq Texas, LLC, Nasdaq ISE, LLC, Nasdaq PHLX LLC, The Nasdaq Stock Market LLC, New York Stock Exchange LLC, NYSE American LLC, NYSE Arca, Inc., NYSE National, Inc., and NYSE Texas, Inc.

⁴ See Letter from Jeff Kimsey, Chair, to Vanessa Countryman, Secretary, Commission dated July 6, 2026.

⁵ 17 CFR 242.608(b)(3)(ii).

interested persons. Set forth in Sections A and B is the statement of the purpose and summary of the Amendment, along with the information required by Rules 608(a) and 601(a) under the Act, as prepared and submitted by the Chair of the Operating Committee.

A. Rule 608(a)

1. Purpose of the Amendments

The above-captioned amendments effectuate a change to reflect the new name of Nasdaq BX as Nasdaq Texas. The amendment also admits the Texas Stock Exchange as a new Member.

2. Governing or Constituent Documents

No change as a result of amendment.

3. Implementation of Amendments

Because the amendment constitutes a “Ministerial Amendment” under Section 13.5 of the CT Plan, the Chair of the CT Plan’s Operating Committee may submit the amendment to the Commission on behalf of the Members in the CT Plan. Because the Members designate the amendment as concerned solely with the administration of the CT Plan, the amendment becomes effective upon filing with the Commission.

4. Development and Implementation Phases

Not applicable.

5. Analysis of Impact on Competition

The amendment does not impose any burden on competition. First, it simply effectuates a change in the name of a Member and admit a new Member to the CT Plan. The Texas Stock Exchange has completed the required steps to be added to the CT Plan. For the same reasons, the Members do not believe that the amendment introduces terms that are unreasonably discriminatory for purposes of Section 11A(c)(1)(D) of the Exchange Act.

6. Written Understanding or Agreements relating to Interpretation of, or Participation in, Plan

No change as a result of amendment.

7. Approval by Sponsors in Accordance with Plan

See Item 3 above.

8. Description of Operation of Facility Contemplated by the Proposed Amendment

No change as a result of amendment.

9. Terms and Conditions of Access

No change as a result of amendment.

10. Method of Determination and Imposition, and Amount of, Fees and Charges

No change as a result of amendment.

11. Method and Frequency of Processor Evaluation

No change as a result of amendment.

12. Dispute Resolution

No change as a result of amendment.

B. Rule 601(a)

1. Equity Securities and Nasdaq Securities for which Transaction Reports Shall be Required by the Plan

No change as a result of amendment.

2. Reporting Requirements

No change as a result of amendment.

3. Manner of Collecting, Processing, Sequencing, Making Available and Disseminating Last Sale Information

No change as a result of amendment.

4. Manner of Consolidation

No change as a result of amendment.

5. Standards and Methods Ensuring Promptness, Accuracy and Completeness of Transaction Reports

No change as a result of amendment.

6. Rules and Procedures Addressed to Fraudulent or Manipulative Dissemination

No change as a result of amendment.

7. Terms of Access to Transaction Reports

No change as a result of amendment.

8. Identification of Marketplace of Execution

No change as a result of amendment.

III. Solicitation of Comments

Interested persons are invited to submit written data, views, and arguments concerning the foregoing, including whether the proposed Amendment is consistent with the Act.

Comments may be submitted by any of the following methods:

Electronic Comments:

- Use the Commission's internet comment form (<https://www.sec.gov/rules/sro.shtml>); or
- Send an email to rule-comments@sec.gov. Please include file number 4-757 on the subject line.

Paper Comments:

- Send paper comments in triplicate to Secretary, Securities and Exchange Commission, 100 F Street, NE, Washington, DC 20549-1090.

All submissions should refer to file number 4-757. This file number should be included on the subject line if email is used. To help the Commission process and review your comments more efficiently, please use only one method. The Commission will post all comments on the

Commission's internet website (<https://www.sec.gov/rules/sro.shtml>). Copies of the filing will be available for inspection and copying at the principal offices of the Members. Do not include personal identifiable information in submissions; you should submit only information that you wish to make available publicly. We may redact in part or withhold entirely from publication submitted material that is obscene or subject to copyright protection. All submissions should refer to file number 4-757 and should be submitted on or before [INSERT DATE 21 DAYS AFTER DATE OF PUBLICATION IN THE FEDERAL REGISTER].

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.⁶

Sherry R. Haywood,

Assistant Secretary.

⁶ 17 CFR 200.30-3(a)(85).