

EXHIBIT B

KEY TO COMMENT LETTERS CITED IN APPROVAL ORDER

Proposed National Market System Plan Governing the Consolidated Audit Trail

(File No. 4-698)

1. Letter from Kathleen Weiss Hanley, Bolton-Perella Chair in Finance, Lehigh University, and Jay. R. Ritter, Joseph B. Cordell Eminent Scholar Chair, University of Florida, to Brent J. Fields, Secretary, Commission, dated July 12, 2016 (“Hanley Letter”).
2. Letter from Courtney D. McGuinn, Operations Director, FIX Trading Community, to Commission, dated July 14, 2016 (“FIX Trading Letter”).
3. Letter from Kelvin To, Founder and President, Data Boiler Technologies, LLC, to Brent J. Fields, Secretary, Commission, dated July 15, 2016 (“Data Boiler Letter”).
4. Letter from Richard Foster, Senior Vice President and Senior Counsel for Regulatory and Legal Affairs, Financial Services Roundtable, to Brent J. Fields, Secretary, Commission, and Marcia E. Asquith, Corporate Secretary, Financial Industry Regulatory Authority, dated July 15, 2016 (“FSR Letter”).
5. Letter from David T. Bellaire, Esq., Executive Vice President and General Counsel, Financial Services Institute, to Brent J. Fields, Secretary, Commission, dated, July 18, 2016 (“FSI Letter”).
6. Letter from Stuart J. Kaswell, Executive Vice President and Managing Director, General Counsel, Managed Funds Association, to Brent J. Fields, Secretary, Commission, dated July 18, 2016 (“MFA Letter”).
7. Letter from Bonnie K. Wachtel, Wachtel and Company, Inc., to Commission, dated July 18, 2016. (“Wachtel Letter”).
8. Letter from David W. Blass, General Counsel, Investment Company Institute, to Brent J. Fields, Secretary, Commission, dated July 18, 2016 (“ICI Letter”).
9. Letter from Larry E. Thompson, Vice Chairman and General Counsel, Depository Trust and Clearing Corporation, to Brent J. Fields, Secretary, Commission, dated July 18, 2016 (“DTCC Letter”).
10. Letter from Manisha Kimmel, Chief Regulatory Officer, Wealth Management, Thomson Reuters, to Brent J. Fields, Secretary, Commission, dated July 18, 2016 (“TR Letter”).
11. Letter from Theodore R. Lazo, Managing Director and Associate General Counsel, and Ellen Greene, Managing Director, Financial Services Operations, Securities Industry and Financial Markets Association, to Brent J. Fields, Secretary, Commission, dated July 18, 2016 (“SIFMA Letter”).

12. Letter from Anonymous, to Commission, received July 18, 2016 (“Anonymous Letter I”).
13. Letter from Mary Lou Von Kaenel, Managing Director, Financial Information Forum, to Brent J. Fields, Secretary, Commission, dated July 18, 2016 (“FIF Letter”).
14. Letter from Marc R. Bryant, Senior Vice President, Deputy General Counsel, Fidelity Investments, to Brent J. Fields, Secretary, Commission, dated July 18, 2016 (“Fidelity Letter”).
15. Letter from Mark Husler, CEO, UniVista, and Jonathan Jachym, Head of North America Regulatory Strategy and Government Relations, London Stock Exchange Group, to Brent J. Fields, Secretary, Commission, dated July 18, 2016 (“UnaVista Letter”).
16. Letter from Gary Stone, Chief Strategy Officer for Trading Solutions and Global Regulatory and Policy Group, Bloomberg, L.P., to Brent J. Fields, Secretary, Commission, dated July 18, 2016 (“Bloomberg Letter”).
17. Letter from Dennis M. Kelleher, President and CEO, Stephen W. Hall, Legal Director and Securities Specialist, and Lev Bagramian, Senior Securities Policy Advisor, Better Markets, to Brent J. Fields, Secretary, Commission, dated July 18, 2016 (“Better Markets Letter”).
18. Letter from Industry Members of the Development Advisory Group (“DAG”) (including Financial Information Forum, Securities Industry and Financial Markets Association and Securities Traders Association), to Brent J. Fields, Secretary, Commission, dated July 20, 2016 (“DAG Letter”).
19. Letter from John A. McCarthy, General Counsel, KCG Holdings, Inc., to Brent J. Fields, Secretary, Commission, dated July 20, 2016 (“KCG Letter”).
20. Letter from Joanne Moffic-Silver, EVP, General Counsel and Corporate Secretary, Chicago Board Options Exchange, Inc., to Brent J. Fields, Secretary, Commission, dated July 21, 2016 (“CBOE Letter”).
21. Letter from Elizabeth K. King, General Counsel and Corporate Secretary, NYSE Group, Inc., to Brent J. Fields, Secretary, Commission, dated July 21, 2016 (“NYSE Letter”).
22. Letter from John Russell, Chairman of the Board, and James Toes, Securities President and CEO, Securities Traders Association, to Brent J. Fields, Secretary, Commission, dated July 25, 2016 (“STA Letter”).
23. Letter from Anonymous, to Commission, received August 12, 2016 (“Anonymous Letter II”).
24. Letter from Scott Garrett, Barry Loudermilk, French Hill, Lynn Westmoreland, Randy Hultgren, Jody Hice, Lamar Smith, Tom Emmer, Bill Huizenga, Sean Duffy, Robert

Pittenger, Robert Hurt, and Ann Wagner, Members of Congress, to Mary Jo White, Chair, Commission, dated October 14, 2016 (“Garrett Letter”).

25. Letter from Participants to Brent J. Fields, Secretary, Commission, dated September 2, 2016 (“Response Letter I”).
26. Letter from Participant to Brent J. Fields, Secretary, Commission, dated September 23, 2016 (“Response Letter II”).
27. Letter from Participants to Brent J. Fields, Secretary, Commission, dated October 7, 2016 (“Response Letter III”).
28. Letter from Participants to Brent J. Fields, Secretary, Commission, dated November 2, 2016 (“Participants’ Letter I”).
29. Letter from Participants to Brent J. Fields, Secretary, Commission, dated November 14, 2016 (“Participants’ Letter II”).