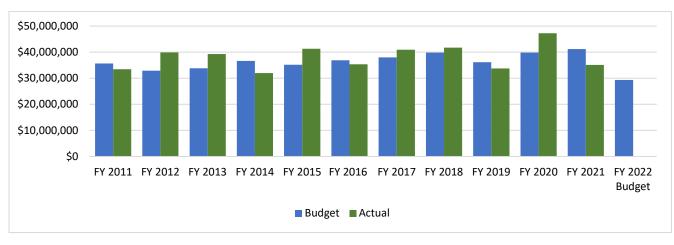
EXHIBIT 3(a)

Transaction Fee*

2021 2021 Budget Actual

2021 2021 Budget Actual



Supporting Charts

\$9,000,000 \$8,000,000

\$7,000,000

\$6,000,000

\$5,000,000 \$4,000,000

\$3,000,000

\$2,000,000

\$1,000,000

\$0

2017 2017 Budget Actual

2018 2018 Budget Actual



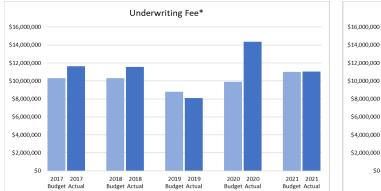
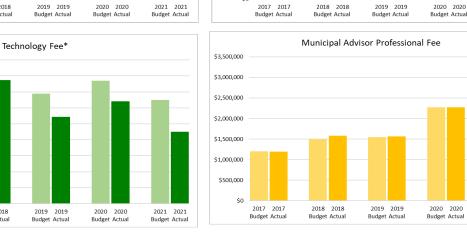
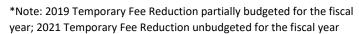


Chart 2 - Historical Budget vs. Actual Revenue for the Rate Card Fees



\$0



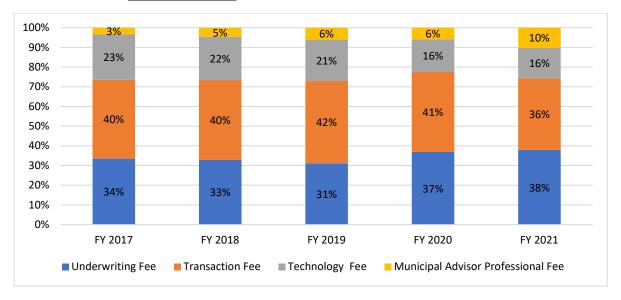
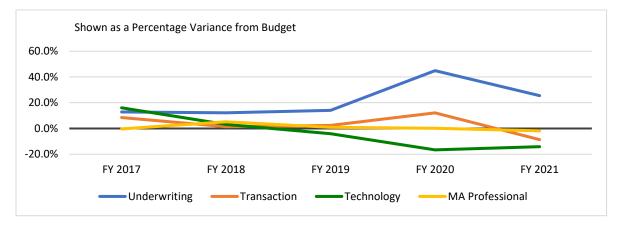


Chart 3 – <u>Historical Actual Revenue for the Rate Card Fees as a Percentage of the Total Rate</u> Card Fee Revenue

Chart 4 - Rate Card Fees: Historical Activity Volume Variance Budget to Actual



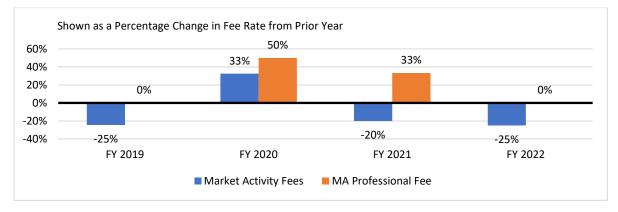


Chart 5 - Historical Effective Fee Rate Changes

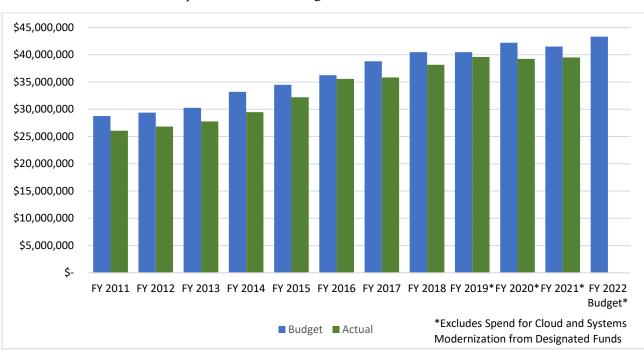


Chart 6 - Historical Expense Variances: Budget vs. Actual

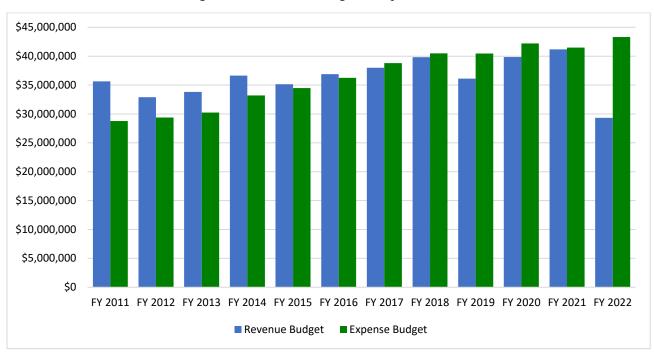
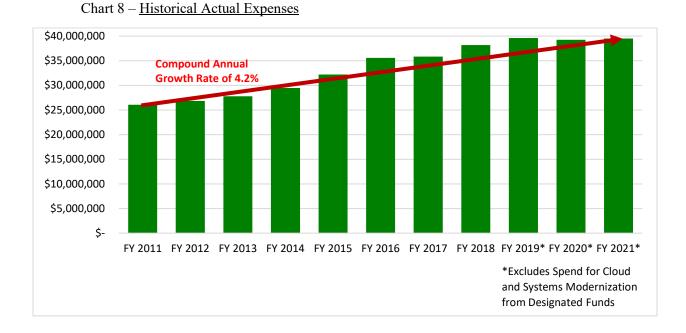


Chart 7 - Historical Budgeted Revenue and Budgeted Expense



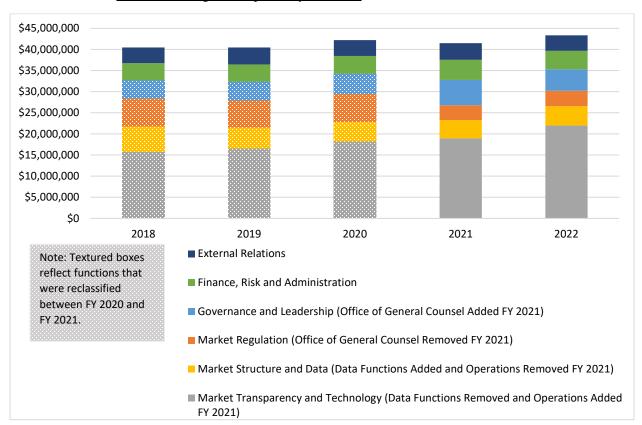


Chart 9 – Historical Budgeted Expense by Function

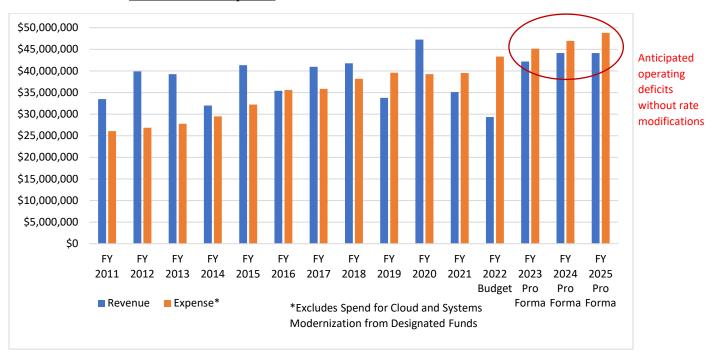
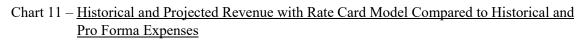
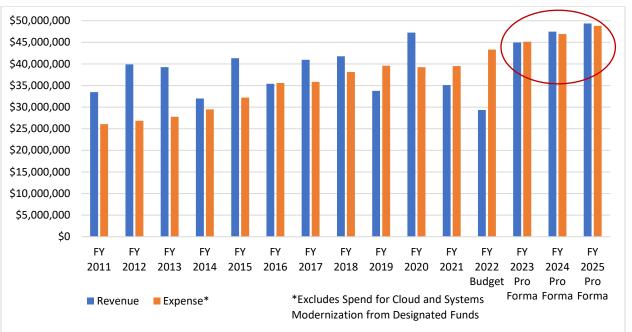


Chart 10 – <u>Historical and Projected Revenue without Rate Card Model Compared to Historical</u> and Pro Forma Expenses





Anticipated revenue sufficient to fund expenses under proposed Rate Card Model

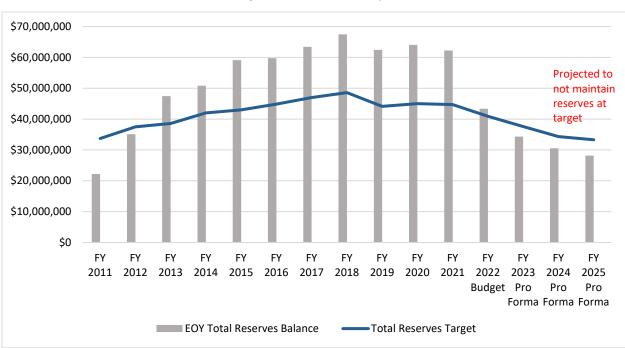


Chart 12 - Total Reserves vs. Target: Historical and Projected without Rate Card Model

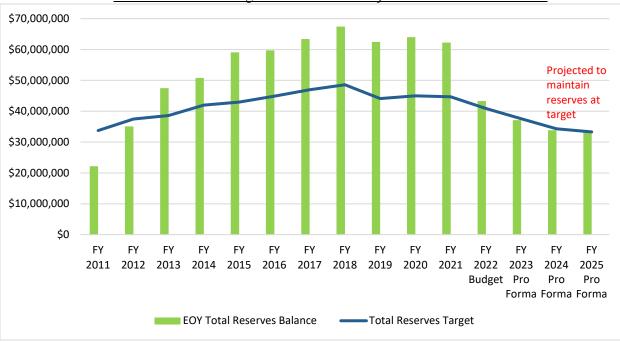


Chart 13 - Total Reserves vs. Target: Historical and Projected with Rate Card Model

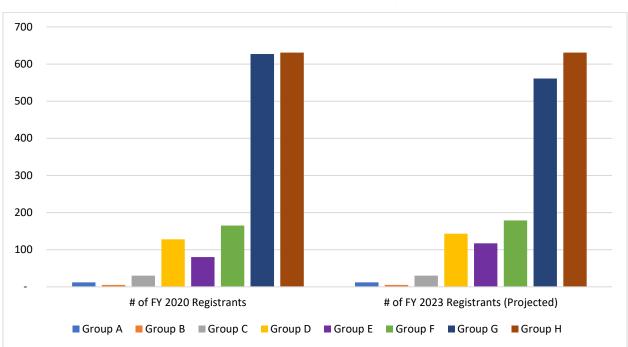


Chart 14 – <u>Distribution of Registrants by Range of Total Fees Assessed Under Current Fee</u> <u>Structure Compared to Projected Distribution Under the Rate Card Model</u> (Exclusive of Late Fees and Examination Fees)

Registrant Group	Registrants' Annual
*Total of 1678 Registrants	Assessment Range
Group A – Light Blue	\$1,000,000+
Group B – Orange	\$500,001-\$1,000,000
Group C – Grey	\$100,001 - \$500,000
Group D – Yellow	\$10,001 - \$100,000
Group E – Purple	\$5,001 - \$10,000
Group F – Green	\$2,501 - \$5,000
Group G – Dark Blue	\$1,001 - \$2,500
Group H – Brown	\$0 to \$1,000