

Exhibit 5 – Text of Proposed Rule Change

Proposed deletions are [bracketed]; proposed new language is underlined

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Rule 2.160(a)-(i) No changes

Supplementary Material .01 No changes

.02 Temporary Extension of the Limited Period for Registered Persons to Function as Principals.  
Any person who was designated to function as a principal under Supplementary Material .01 of  
this Rule prior to [January 1, 2021]March 3, 2021, may continue to function as a principal  
without having successfully passed an appropriate qualification examination until [April 30,  
2021]June 30, 2021.

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