

Exhibit 5 – Text of Proposed Rule Change

Proposed new language is underlined

Rule 2.160(a)-(i) No changes

Supplementary Material .01 No changes

.02 Temporary Extension of the Limited Period for Registered Persons to Function as Principals.
Any person who was designated to function as a principal under Supplementary Material .01 of
this Rule prior to January 1, 2021 may continue to function as a principal without having
successfully passed an appropriate qualification examination until April 30, 2021

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