



U.S. Securities and Exchange Commission
Office of the Secretary

January 19, 2024

Kristine Vo
Assistant General Counsel
FINRA
1700 K Street NW
Washington, DC 20006

Re: Self-Regulatory Organizations; Order Approving a Proposed Rule Change to Amend the FINRA Codes of Arbitration Procedure and Code of Mediation Procedure to Revise and Restate the Qualifications for Representatives in Arbitrations and Mediations, Securities Exchange Act of 1934 Release 99335 (January 11, 2024)

Dear Ms. Vo:

On January 11, 2024, the Division of Trading and Markets took action, pursuant to delegated authority, 17 CFR 200.30-3(a)(12), approving the proposed rule change by FINRA to amend the FINRA Codes of Arbitration Procedure and Code of Mediation Procedure. *Order Approving Proposed Rule Change to Amend the FINRA Codes of Arbitration Procedure and Code of Mediation Procedure to Revise and Restate the Qualifications for Representatives in Arbitrations and Mediations, Securities Exchange Act of 1934 Release No. 99335 (January 11, 2024)*.

This letter is to notify you that, pursuant to Rule 431 of the Commission's Rules of Practice, 17 CFR 201.431, the Commission will review the delegated action. In accordance with Rule 431(e), the January 11, 2024 order is stayed until the Commission orders otherwise.

The Office of the Secretary will notify you of any pertinent action taken by the Commission.

Sincerely,

J. Matthew DeLesDernier
Deputy Secretary