

**EXHIBIT 3****[Broker Name] –OTC Equity Security Routing Public Report  
Generated on [Date] [Time]****[Quarter] [Year]****[Month 1] [Year]****Domestic OTC Equity Securities****Summary**

<b>Not Held Orders as % of All Orders</b>	<b>Held Orders as % of All Orders</b>	<b>Non-Directed Held Orders as % of Held Orders</b>
[XX.XX%]	[XX.XX%]	[XX.XX%]

**Venues**

<b>Venue – Non-Directed Held Order Flow</b>	<b>Non-Directed Held Orders (%)</b>	<b>Net Payment Paid/Received (USD)</b>	<b>Net Payment Paid/Received (cents per order)</b>
[Venue 1]	[XX.XX%]	[XXXX]	[X.XXXXX]
[Venue 2]	[XX.XX%]	[XXXX]	[X.XXXXX]
[Venue 3]	[XX.XX%]	[XXXX]	[X.XXXXX]
[Venue 4]	[XX.XX%]	[XXXX]	[X.XXXXX]
[Venue 5]	[XX.XX%]	[XXXX]	[X.XXXXX]
[Venue 6]	[XX.XX%]	[XXXX]	[X.XXXXX]
[Venue 7]	[XX.XX%]	[XXXX]	[X.XXXXX]
[Venue 8]	[XX.XX%]	[XXXX]	[X.XXXXX]
[Venue 9]	[XX.XX%]	[XXXX]	[X.XXXXX]
[Venue 10]	[XX.XX%]	[XXXX]	[X.XXXXX]

**Material Aspects:**

[Venue 1]:

[Insert discussion of the material aspects of the relationship with this venue]

[Venue 2]:

[Insert discussion of the material aspects of the relationship with this venue]

[Venue 3]:

[Insert discussion of the material aspects of the relationship with this venue]

[Venue 4]:

[Insert discussion of the material aspects of the relationship with this venue]

[Venue 5]:

[Insert discussion of the material aspects of the relationship with this venue]

[Venue 6]:

[Insert discussion of the material aspects of the relationship with this venue]

[Venue 7]:

[Insert discussion of the material aspects of the relationship with this venue]

[Venue 8]:

[Insert discussion of the material aspects of the relationship with this venue]

[Venue 9]:

[Insert discussion of the material aspects of the relationship with this venue]

[Venue 10]:

[Insert discussion of the material aspects of the relationship with this venue]

[Month 1] [Year]

**American Depository Receipts (ADRs) and Foreign Ordinaries that are OTC Equity Securities**

**Summary**

Not Held Orders as % of All Orders	Held Orders as % of All Orders	Non-Directed Held Orders as % of Held Orders
[XX.XX%]	[XX.XX%]	[XX.XX%]

**Venues**

Venue – Non-Directed Held Order Flow	Non-Directed Held Orders (%)	Net Payment Paid/Received (USD)	Net Payment Paid/Received (cents per order)
[Venue 1]	[XX.XX%]	[XXXX]	[X.XXXXX]
[Venue 2]	[XX.XX%]	[XXXX]	[X.XXXXX]
[Venue 3]	[XX.XX%]	[XXXX]	[X.XXXXX]
[Venue 4]	[XX.XX%]	[XXXX]	[X.XXXXX]
[Venue 5]	[XX.XX%]	[XXXX]	[X.XXXXX]
[Venue 6]	[XX.XX%]	[XXXX]	[X.XXXXX]
[Venue 7]	[XX.XX%]	[XXXX]	[X.XXXXX]

[Venue 8]	[XX.XX%]	[XXXX]	[X.XXXXX]
[Venue 9]	[XX.XX%]	[XXXX]	[X.XXXXX]
[Venue 10]	[XX.XX%]	[XXXX]	[X.XXXXX]

**Material Aspects:**

[Venue 1]:

[Insert discussion of the material aspects of the relationship with this venue]

[Venue 2]:

[Insert discussion of the material aspects of the relationship with this venue]

[Venue 3]:

[Insert discussion of the material aspects of the relationship with this venue]

[Venue 4]:

[Insert discussion of the material aspects of the relationship with this venue]

[Venue 5]:

[Insert discussion of the material aspects of the relationship with this venue]

[Venue 6]:

[Insert discussion of the material aspects of the relationship with this venue]

[Venue 7]:

[Insert discussion of the material aspects of the relationship with this venue]

[Venue 8]:

[Insert discussion of the material aspects of the relationship with this venue]

[Venue 9]:

[Insert discussion of the material aspects of the relationship with this venue]

[Venue 10]:

[Insert discussion of the material aspects of the relationship with this venue]

---

**[Month 1] [Year]**

---

**Canadian-listed Securities Trading in the United States as OTC Equity Securities**

**Summary**

<b>Not Held Orders as % of All Orders</b>	<b>Held Orders as % of All Orders</b>	<b>Non-Directed Held Orders as % of Held Orders</b>
[XX.XX%]	[XX.XX%]	[XX.XX%]

**Venues**

<b>Venue – Non-Directed Held Order Flow</b>	<b>Non-Directed Held Orders (%)</b>	<b>Net Payment Paid/Received (USD)</b>	<b>Net Payment Paid/Received (cents per order)</b>
[Venue 1]	[XX.XX%]	[XXXX]	[X.XXXXX]
[Venue 2]	[XX.XX%]	[XXXX]	[X.XXXXX]
[Venue 3]	[XX.XX%]	[XXXX]	[X.XXXXX]
[Venue 4]	[XX.XX%]	[XXXX]	[X.XXXXX]
[Venue 5]	[XX.XX%]	[XXXX]	[X.XXXXX]
[Venue 6]	[XX.XX%]	[XXXX]	[X.XXXXX]
[Venue 7]	[XX.XX%]	[XXXX]	[X.XXXXX]
[Venue 8]	[XX.XX%]	[XXXX]	[X.XXXXX]
[Venue 9]	[XX.XX%]	[XXXX]	[X.XXXXX]
[Venue 10]	[XX.XX%]	[XXXX]	[X.XXXXX]

**Material Aspects:**

[Venue 1]:

[Insert discussion of the material aspects of the relationship with this venue]

[Venue 2]:

[Insert discussion of the material aspects of the relationship with this venue]

[Venue 3]:

[Insert discussion of the material aspects of the relationship with this venue]

[Venue 4]:

[Insert discussion of the material aspects of the relationship with this venue]

[Venue 5]:

[Insert discussion of the material aspects of the relationship with this venue]

[Venue 6]:

[Insert discussion of the material aspects of the relationship with this venue]

[Venue 7]:

[Insert discussion of the material aspects of the relationship with this venue]

[Venue 8]:

[Insert discussion of the material aspects of the relationship with this venue]

[Venue 9]:

[Insert discussion of the material aspects of the relationship with this venue]

[Venue 10]:

[Insert discussion of the material aspects of the relationship with this venue]

---

**[Same disclosures as above for Month 2]**

---

**[Same disclosures as above for Month 3]**

---