

EXHIBIT 3

FINRA/NYSE TRF Affiliate Aggregation Form

In accordance with FINRA Rule 7630B, a member's activity will be aggregated with its affiliate for charges assessed or credits provided by the FINRA/NYSE Trade Reporting Facility.

General Information

Firm Name of Primary
FINRA/NYSE TRF Participant: _____

Business Address: _____

City: _____ State: _____ Zip: _____

Business Phone: _____ CRD #: _____ MPID: _____

Affiliate Firms

Provide a list of all affiliated firms, associated CRD Numbers, MPIDs, and type of affiliation. This list should include any Alternative Trading System (ATS) reporting ATS trade data or FINRA Member Firm reporting non-ATS trade data to any FINRA equity trade reporting facility. Failure to provide complete information may result in inaccurate billing.

FINRA Member Firms and/or ATSS to be Affiliated with Primary FINRA/NYSE TRF Participant:	CRD #:	MPID: (If Applicable)	Type of Affiliation: (wholly owned subsidiary/parent/sister/etc...)

Authorization and Acceptance

The FINRA/NYSE TRF Participant Firm, by its duly authorized officer identified below, hereby certifies that the entities listed in this application are affiliates within the meaning defined in FINRA Rule 7630B. The Participant Firm agrees to provide, upon request, information to verify the affiliate status of the entities listed herein. The Participant Firm shall also provide immediate notice of any event that causes an entity listed herein to cease to be an affiliate of the Participant Firm.

Signature of Authorized Officer: _____

Name: _____

Title: _____

Phone: _____

Email Address: _____

Date: _____