

EXHIBIT 2e

**Alphabetical List of Written Comments
Regulatory Notice 15-36**

1. Norman L. Ashkenas, Fidelity Investments (December 16, 2015)
2. David T. Bellaire, Financial Services Institute (December 11, 2015)
3. David P. Bergers, LPL Financial, LLC (December 10, 2015)
4. Hugh D. Berkson, Public Investors Arbitration Bar Association (December 8, 2015)
5. Jason Clague, Charles Schwab & Co., Inc. (December 11, 2015)
6. Sean Davy, Securities Industry and Financial Markets Association (December 11, 2015)
7. Elizabeth Dennis, Morgan Stanley Smith Barney LLC (December 11, 2015)
8. Herbert Diamant, Diamant Investment Corporation (November 30, 2015)
9. Rick A. Fleming, Securities and Exchange Commission (December 11, 2015)
10. Micha Hauptman, Consumer Federation of America (December 11, 2015)
11. Manisha Kimmel, Thomson Reuters (December 11, 2015)
12. Robert J. McCarthy, Wells Fargo Advisors, LLC (December 11, 2015)
13. Chris Melton, Coastal Securities (December 11, 2015)
14. Michael Nicholas, Bond Dealers of America (December 11, 2015)
15. Paige Pierce, RW Smith & Associates, LLC (December 14, 2015)
16. Kurt N. Schacht, CFA Institute (December 11, 2015)
17. Thomas S. Vales, TMC Bonds LLC (December 11, 2015)
18. Darren Wasney, Financial Information Forum (December 11, 2015)