

Bold and underlined text indicates proposed added language

~~Bold and strikethrough text~~ indicates proposed deleted language

**FIXED INCOME CLEARING CORPORATION
MORTGAGE-BACKED SECURITIES DIVISION
CLEARING RULES**

**FICC MORTGAGE-BACKED SECURITIES DIVISION
SCHEDULE OF CHARGES BROKER ACCOUNT GROUP**

[Changes to this Schedule of Charges Broker Account Group, as amended by File No. SR-FICC-2022-009, are available at <https://www.dtcc.com/legal/sec-rule-filings>. These changes became effective upon filing with the Securities and Exchange Commission but have not yet been implemented. On January 1, 2023, these changes will be implemented and this legend will automatically be removed.]

I. FEES

* * *

Trade Processing³

Trade Creates	\$.20/side <u>\$.40/side</u>
Unmatched Trade Deletes	\$ 1.00/side
Trade Cancels	\$ 1.00/side
Change Terms	\$ 1.00/side

* * *

³ Trades which are matched and canceled within the same processing pass are exempt from Trade Processing fees.

**FICC MORTGAGE-BACKED SECURITIES DIVISION
SCHEDULE OF CHARGES DEALER ACCOUNT GROUP**

[Changes to this Schedule of Charges Dealer Account Group, as amended by File No. SR-FICC-2022-009, are available at <https://www.dtcc.com/legal/sec-rule-filings>. These changes became effective upon filing with the Securities and Exchange Commission but have not yet been implemented. On January 1, 2023, these changes will be implemented and this legend will automatically be removed.]

I. FEES

* * *

Trade Processing³

SBO Destined Trades	Par Value Millions/Mo.
Trade Creates	
01 - 2,500,000,000	\$2.362.00 /MM
2,500,000,001 - 7,500,000,000	\$1.861.58 /MM
7,500,000,001 - 12,500,000,000	\$1.641.39 /MM
12,500,000,001 - 300,000,000,000	\$1.401.19 /MM
300,000,000,001 and over	\$1.371.16 /MM
TBA Netting Balance Orders (SBON)	\$1.201.00 /MM
Unmatched Trade Deletes	\$1.00/side
Trade Cancels	\$4.00/side
Trade Netting Converts	\$1.00/side

* * *

Trade-for-Trade Transactions, Specified Pool Trades, and Stipulated Trades

Trade Creates	\$1.371.16 /MM
Unmatched Trade Deletes	\$1.00/side
Trade Cancels	\$4.00/side

³ Trades which are matched and canceled within the same processing pass are exempt from Trade Processing fees.

Option Trades

Trade Creates	\$1.181.00 /MM
Unmatched Trade Deletes	\$1.00/side
Trade Cancels	\$4.00/side

Do Not Allocate Process

DNA Request	\$1.501.25 /MM
DNA Request Cancel (per DNA request)	\$4.00

Pool Netting Fees

Matched Pool Instruct (per side)	\$1.201.00
CDR Pool Instruct Fee	\$0.20
Cancel of Matched Pool Instruct	\$0.40
Post Net Subs	\$0.20
MBSD Bank Allocation Fee	Calculated monthly based on MBSD bank clearance fees*

* * *

* The monthly fee will be calculated based on the bank fee allocated to MBSD divided by the number of compared Pool Instructs.

FIXED INCOME CLEARING CORPORATION
MORTGAGE-BACKED SECURITIES DIVISION
EPN RULES

**FIXED INCOME CLEARING CORPORATION
MORTGAGE-BACKED SECURITIES DIVISION (“MBSD”)
EPN SERVICE SCHEDULE OF CHARGES**

[Changes to this EPN Service Schedule of Charges, as amended by File No. SR-FICC-2022-009, are available at <https://www.dtcc.com/legal/sec-rule-filings>. These changes became effective upon filing with the Securities and Exchange Commission but have not yet been implemented. On January 1, 2023, these changes will be implemented and this legend will automatically be removed.]

ACCOUNT MAINTENANCE FEES

Direct Account: **\$1,200.00**~~1,000.00~~/month (per account)

Investment Manager Accounts¹

1 Account	\$ 1,000.00/Mo./each
2 or 3 Accounts	\$ 530.00/Mo./each
4 through 7 Accounts	\$ 430.00/Mo./each
8 through 10 Accounts	\$ 370.00/Mo./each
11 Accounts and over	\$ 340.00/Mo./each

* * *

MESSAGE PROCESSING FEES

ON Send: **\$20.19**/million Current Face (Opening of Business to 1:00 p.m.)

\$1.00.95/million Current Face (1:00 p.m. to 2:00 p.m.)

¹ The Account Maintenance fee for a Participant or EPN User, as applicable, that uses a common investment manager to process trades with the MBSD is based on the total number of Accounts maintained by such Participant or EPN User, as applicable, with an investment manager.

~~\$2.001.90~~/million Current Face (2:00 p.m. to 3:00 p.m.)

~~\$1.671.58~~/million Current Face (3:00 p.m. to Close of Business)

ON Receive:

~~\$.54.51~~/million Current Face (Opening of Business to 1:00 p.m.)

~~\$.28.26~~/million Current Face (1:00 p.m. to 2:00 p.m.)

~~\$.28.26~~/million Current Face (2:00 p.m. to 3:00 p.m.)

No Charge (3:00 p.m. to Close of Business)

Pool Substitution Cancel/Correct

Cancel/Correct Send:

~~\$0.200.19~~/million Current Face (Open of Business up to 11:00 a.m.)

~~\$1.000.95~~/million Current Face (11:00 a.m. up to 12:00 p.m.)

~~\$2.001.90~~/million Current Face (12:00 p.m. up to 12:15 p.m.)

~~\$0.200.19~~/million Current Face (12:15 p.m. to End of Day)