SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-106876; File No. SR- DTC-2026-010]

Self-Regulatory Organizations; ; The Depository Trust Company; Notice of Filing of Partial Amendment No. 1 and Notice of Designation of Longer Period for Commission Action on Proposed Rule Change to Establish and Implement AnnounceDirect for the Submission of Corporate Action Announcements

November 12, 2026.

I. INTRODUCTION

On September 15, 2026, the Depository Trust Company ("DTC") filed with the Securities and Exchange Commission ("Commission") proposed rule change DTC-2026-010, pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 ("Exchange Act")[1] and Rule 19b-4 thereunder.[2] The proposed rule change was published for public comment in the *Federal Register* on September 29, 2026.[3] The Commission has received comments regarding the substance of the changes proposed.[4] On November 6, 2026, DTC filed Partial Amendment No. 1 to modify the proposed rule change (the proposed rule change, as modified by Partial Amendment No. 1, is hereinafter referred to as the "proposed rule change").[5] The Commission is publishing this notice to solicit comments

---

1 15 U.S.C. 78s(b)(1).

2 17 CFR 240.19b-4.

3 *See* Securities Exchange Act Release No. 106486 (Sept. 24, 2026), 91 FR 61520 (Sept. 29, 2026) (File No. SR-DTC-2026-010) ("Notice of Filing").

4 Comments on the proposed rule change are available at https://www.sec.gov/rules-regulations/public-comments/sr-dtc-2026-010.

5 Partial Amendment No. 1 is available at https://files.dtcc.com/download/assets/SR-DTC-2026-010-AnnounceDirect-redacted.pdf/a1658c64da0811f18e1d527ec7585796.

on Partial Amendment No. 1 from interested persons and to designate a longer time period for Commission action on the proposed rule change.

II. DESCRIPTION OF PARTIAL AMENDMENT NO. 1

In Partial Amendment No. 1, DTC revises the implementation timeframe for the first phase of AnnounceDirect from November 2026 to no later than March 31, 2027 and states that DTC would announce the specific implementation date by Important Notice at least 14 days in advance.

III. SOLICITATION OF COMMENTS

Interested persons are invited to submit written data, views and arguments concerning the foregoing, including whether the proposed rule change is consistent with the Exchange Act. Comments may be submitted by any of the following methods:

Electronic Comments:

- Use the Commission's internet comment form (https://www.sec.gov/rules/sro.shtml); or
- Send an email to rule-comments@sec.gov. Please include file number SR-DTC-2026-010 on the subject line.

Paper Comments:

- Send paper comments in triplicate to Secretary, Securities and Exchange Commission, 100 F Street NE, Washington, DC 20549.

All submissions should refer to file number SR-DTC-2026-010. This file number should be included on the subject line if email is used. To help the Commission process and review your comments more efficiently, please use only one method. The Commission will post all comments on the Commission's Internet website

(https://www.sec.gov/rules/sro.shtml). Copies of the filing will be available for inspection and copying at the principal office of DTC and on DTCC's website (https://dtcc.com/legal/sec-rule-filings.aspx). Do not include personal identifiable information in submissions; you should submit only information that you wish to make available publicly. We may redact in part or withhold entirely from publication submitted material that is obscene or subject to copyright protection. All submissions should refer to file number SR-DTC-2026-010 and should be submitted on or before [INSERT DATE 21 DAYS AFTER DATE OF PUBLICATION IN THE *FEDERAL REGISTER*].

IV. DESIGNATION OF LONGER PERIOD FOR COMMISSION ACTION

Section 19(b)(2)(i) of the Exchange Act[6] provides that, within 45 days of the publication of notice of the filing of a proposed rule change, the Commission shall either approve the proposed rule change, disapprove the proposed rule change, or institute proceedings to determine whether the proposed rule change should be disapproved unless the Commission extends the period within which it must act as provided in Section 19(b)(2)(ii) of the Exchange Act.[7] Section 19(b)(2)(ii) of the Exchange Act allows the Commission to designate a longer period for review (up to 90 days from the publication of notice of the filing of a proposed rule change) if the Commission finds such longer period to be appropriate and publishes its reasons for so finding, or as to which the self-regulatory organization consents.[8]

The 45th day after publication of the Notice of Filing is November 13, 2026. In

---

6 15 U.S.C. 78s(b)(2)(i).

7 15 U.S.C. 78 s(b)(2)(ii).

8 *Id.*

order to provide the Commission with sufficient time to consider the proposed rule change, the Commission finds that it is appropriate to designate a longer period within which to take action on the proposed rule change and therefore is extending this 45-day time period.

Accordingly, the Commission, pursuant to Section 19(b)(2) of the Exchange Act,[9] designates December 28, 2026, as the date by which the Commission shall either approve, disapprove, or institute proceedings to determine whether to disapprove proposed rule change SR-DTC-2026-010.

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.[10]

Sherry R. Haywood,

Assistant Secretary.

---

[9] *Id.*

[10] 17 CFR 200.30-3(a)(12).