

Bolded, underlined text indicates proposed added language.

~~Bolded, strikethrough text~~ indicates proposed deleted language.

The Depository Trust Company,

a subsidiary of The Depository Trust & Clearing Corporation

OPERATIONAL ARRANGEMENTS

(Necessary for Securities to Become
and Remain Eligible for DTC Services)

VI. REORGANIZATION INSTRUCTIONS/NOTIFICATION PROCEDURES

C. Processing for Specific Voluntary Reorganizations

Issuer or Agent agrees to abide by specific procedures for certain event-types or security-types.

4. Standards for Convertible Issues/Warrants/Rights

a. Convertible Issues/Warrants/Rights Notifications

Extension of Warrant/Right Expiration Date: To notify DTC of an extension of the expiration date of a warrant/right, Issuer or Agent shall adhere to the following notice requirements:

- ~~Expired Warrants /Rights Offers:~~ Notices to DTC ~~relating to~~ **of the extension of the expiration date of a warrant/right shall be provided shall must** be provided no later than **15 10 business days following the expiration date for warrants and two business days following the expiration date for rights following its expiration.**
- Such notice shall be emailed to DTC at **conversionsandwarrantsannouncements@dtcc.com** **voluntaryreorgannouncements@dtcc.com.**

Deletion and Disposal of Expired Warrants and Rights:

Issuers and Agents are advised that if DTC has not received a notice of extension for an expired warrant/right within the applicable timeframe, DTC may delete all Participant positions and dispose of any underlying certificates for the expired warrant/right, without further instruction or notice.*

~~Reactivation of Warrant/Right or Declaration of Worthless Security: Issuer of a warrant/right, or the warrant/right exercise Agent, on the advice of Issuer, must provide notice to DTC by email to voluntaryreorgannouncements@dtcc.com for such events and include along with the CUSIP number and security description the following:~~

- ~~For reactivation a statement of the registration of the offering of such underlying Securities under the Securities Act has been reactivated following a period during which it had lapsed; and~~

* **With respect to expired warrants/rights with an expiration date prior to August 1, 2023 (“aged expired warrants/rights”), DTC will issue an Important Notice at least thirty (30) days prior to deleting and disposing of an aged expired warrant/right. This footnote will be automatically deleted after DTC issues such Important Notice(s) for all of the aged expired warrants/rights.**

- ~~For a valueless or worthless Security, a statement that the warrant/ right has expired and the certificate evidencing the warrants/rights is valueless including a declaration that the warrants are “null, void and worthless”, and shall have an indemnity statement (if notice is from the Agent), and is be delivered to DTC within 24 hours of when such information is known to the Agent.~~

Reactivation of a Warrant or Right

To notify DTC of a reactivation of a warrant/right, Issuer or Agent must provide notice to DTC by e-mail to conversionsandwarrantsannouncements@dtcc.com for such events and include along with the CUSIP number and security description the SEC registration statement of the offering of such underlying Securities that has been reactivated following a period during which it had lapsed.
