

EXHIBIT 5

Additions are underlined; deleted text is [in brackets]

RULES OF THE CHICAGO STOCK EXCHANGE, INC.

* * *

ARTICLE 20. Operation of the CHX Matching System

* * *

Rule 13. Compliance with Regulation NMS Plan to Implement a Tick Size Pilot

(a) Unchanged

(b) Compliance with Data Collection Requirements

(1) Policies and Procedures Requirement. A CHX Participant that operates a Trading Center shall establish, maintain and enforce written policies and procedures that are reasonably designed to comply with the data collection and transmission requirements of Items I and II of Appendix B of the Plan, and a CHX Participant that is a Market Maker shall establish, maintain and enforce written policies and procedures that are reasonably designed to comply with the data collection and transmission requirements of Item IV of Appendix B of the Plan and Item I of Appendix C of the Plan.

(2) Trading Centers Data Requirements

(A) Certain CHX Participant Trading Centers

(i) – (iv) Unchanged

(v) The Exchange shall collect and transmit to the SEC the data described in Items I and II of Appendix B of the Plan and collected pursuant to this paragraph (b)(2)(A). The Exchange shall transmit such data to the SEC in a pipe delimited format, on a disaggregated basis by Trading Center, within 30 calendar days following month end. [The Exchange shall make such data publicly available on the CHX website within 120 calendar days following month end at no charge and shall not identify the Trading Center that generated the data.]The Exchange shall also make the data collected pursuant to this paragraph (b)(2)(A) available to FINRA for aggregation and publication on the FINRA website pursuant to FINRA Rules.

* * *

••• *Interpretations and Policies:*

.01 - .07 Unchanged

.08 A Trading Center shall begin the data collection required pursuant to Appendix B.I.a(1) through B.II.(y) of the Plan and Item I of Appendix C of the Plan on April 4, 2016. The requirement that the Exchange or their DEA provide information to the SEC within 30 days following month end and make certain data publicly available on the Exchange's or DEA's web site pursuant to Appendix B and C of the Plan shall commence at the beginning of the Pilot Period. Notwithstanding the provisions of paragraphs (b)(2) and (b)(3) of this Rule, with respect to data for the Pre-Pilot Period and the Pilot Period, the Exchange shall make the data collected pursuant to paragraphs (b)(2)(A) and (b)(4)(A) above available to FINRA for aggregation and publication on the FINRA website pursuant to FINRA Rules and the Exchange will publish Appendix B data with respect to any Trading Center operated by the Exchange on the Exchange website, which shall commence on August 31, 2017.

* * *