



Rate Table - All Products Excluding Underlying Symbol List A (34)			Transaction Fee Per Contract									
Capacity	Products	Capacity Code	Manual		Electronic		AIM Agency/Primary (19)	AIM Contra (18)	AIM Response (20)			
			Penny Classes	Non-Penny Classes	Penny Classes	Non-Penny Classes			Penny Classes	Non-Penny Classes		

Broker-Dealer (16)	CBTX	B N U J	{B2} \$1.00									
	MBTX		{M2} \$0.50									
	MRUT		{BM} \$0.04									
	XSP		{XN} \$0.30	{XF} \$0.30 Contra Customer or Contra Non-Customer, Add Liquidity / {XB} \$0.50 Contra Non-Customer, Remove Liquidity								
Non-Trading Permit Holder Market Maker (16)	SPEQX		{E2} \$0.25									
	NANOS		{NN} \$0.01									
	MXACW, MXUSA, MXWLD		{BG} \$0.20									
	Equity, ETF, and ETN Options		{BA} \$0.25 {WA} \$0.1205 "U" Capacity Code Only	{BB} \$0.47 / {YC} \$0.07 FLEX Auction Responder	{BC} \$0.75 / {YC} \$0.07 FLEX Auction Responder	{BD} \$0.20	{YC} \$0.07	{NB} \$0.50	{NC} \$1.05			
	All Other Index Products		{BB} \$0.47		{BC} \$0.75		{YB} \$0.07					
	Sector Indexes (47)		{BE} \$0.40									
	RUT FLEX Micro		{GB} \$0.009		{GC} \$0.012		{GB} \$0.009					
	SPX FLEX Micro		{GD} \$0.009									
	MXEA/MXEF FLEX Micro		{GK} \$0.005		{GI} \$0.010		{GK} \$0.005	{GL} \$0.003	{GN} \$0.013			
	Professional /Joint Back-Office		DJX FLEX Micro	{GK} \$0.005		{GI} \$0.007		{GK} \$0.005	{GL} \$0.003	{GM} \$0.007		

Floor Broker Sliding Scale Supplemental Rebate Program (39)(41)(33)		
Tier	Non-Firm Facilitated Rebate	Criteria
1	\$0.00	TPH has FLEX Floor Broker Volume > 0 and < 2,000,000 contracts
2	\$0.01	TPH has FLEX Floor Broker Volume ≥ 2,000,000 and < 6,000,000 contracts
3	\$0.02	TPH has FLEX Floor Broker Volume ≥ 6,000,000 and < 10,000,000 contracts
4	\$0.03	TPH has FLEX Floor Broker Volume ≥ 10,000,000 contracts

The Floor Broker Sliding Scale Supplemental Rebate Program ("Supplemental Rebate Program") applies to all products except Underlying Symbol List A (34), Sector Indexes (47), DJX, CBTX, MBTX, MRUT, MXEA, MXEF, MXACW, MXUSA, MXWLD, NANOS, SPEQX, XSP and FLEX Micros. The Exchange will aggregate a TPH's volume with the volume of its affiliates ("affiliate" defined as having at least 75% common ownership between the two entities as reflected on each entity's Form BD, Schedule A) for the purposes of calculating Volume each month. The Exchange will calculate rebates based on qualifying volumes under this Supplemental Rebate Program; eligible TPHs will receive the rebates only on qualifying Non-Firm Facilitated orders processed through the Floor Broker Sliding Scale Rebate Program (specifically, Non-Customer, Non-Strategy Floor Broker orders that do not yield fee code FF).

Footnotes:	
Footnote Number	Description

33	FLEX Micro Options are excluded from the following programs: SPX/SPXW and SPESG Liquidity Provider Sliding Scale, Liquidity Provider Sliding Scale, Liquidity Provider Sliding Scale Adjustment Table, Volume Incentive Program, Break-Up Credits, Affiliate Volume Plan, Marketing Fee, Clearing Trading Permit Holder Proprietary Products Sliding Scale, Clearing Trading Permit Holder Fee Cap, Select Customer Options Reduction ("SCORE") Program, Customer Large Trade Discount, Market-Maker Tier Appointment Fee thresholds, Floor Broker Trading Surcharge thresholds, Floor Broker Sliding Scale Rebate Program, <u>Floor Broker Sliding Scale Supplemental Rebate Program</u> , Floor Broker ADV Discount, Floor Brokerage Fees, Floor Brokerage Fees Discount Scale, GTH Executing Agent Subsidy Program, GTH SPX Customer Rebate Program, Order Router Subsidy Program, Complex Order Router Subsidy Program, and Frequent Trader Program.
