



Rate Table - All Products Excluding Underlying Symbol List A (34)			Transaction Fee Per Contract								
Capacity	Products	Capacity Code	Manual		Electronic		AIM Agency/Primary (19)	AIM Contra (18)	AIM Response (20)		
			Penny Classes	Non-Penny Classes	Penny Classes	Non-Penny Classes			Penny Classes	Non-Penny Classes	

Cboe Options Market-Maker/DPM/LMM (10)	MRUT	M	{MM} \$0.03								
	XSP		{MP} \$0.15	{MC} \$0.15 Contra Customer / {MX} \$0.09 Contra Non-Customer, Add Liquidity / {MY} \$0.3[5]0 Contra Non-Customer, Remove Liquidity							
	NANOS		{NM} \$0.01								
	MXACW, MXUSA, MXWLD		{MG} \$0.10								
	Equity, ETF, and ETN Options		{MB} \$0.35	{MA} \$0.23 - See Liquidity Provider Sliding Scale and Liquidity Provider Sliding Scale Adjustment Table				{MA} \$0.23 - See Liquidity Provider Sliding Scale and Liquidity Provider Sliding Scale Adjustment Table			
	Sector Indexes (47) and All Other Index Products						{YB} \$0.07	{MD} \$0.25			
	RUT FLEX Micro		{GA} \$0.009								
	SPX FLEX Micro		{GF} \$0.006								
	MXEA/MXEF FLEX Micro		{GH} \$0.005				{GL} \$0.003	{GN} \$0.013			
	DJX FLEX Micro		{GH} \$0.005				{GL} \$0.003	{GM} \$0.007			

Rate Table - Underlying Symbol List A (34) (37)(42)		Options Transaction Fees (1)(3)(4)(7)(13)(15)(33)(39)(12)						
Capacity	Products	Capacity Code	Transaction Fee Per Contract by Premium Price				VIX and SPX (incl SPXw) Only (12)(37)(42)	
			\$0.00 - \$0.10	\$0.11 - \$0.99	\$1.00 - \$1.99	\$2.00+	AIM Agency/Primary (19)	AIM Contra (18)

[AIM Response Surcharge Fee (12)(41)(42)]	[SPX (incl SPXW) and SPESG]	[C F J L M B N U]	\$0.05
[AIM Contra Surcharge Fee (12)(41)(42)]	[SPX (incl SPXW) and SPESG]	[C F J L M B N U]	\$0.10
[AIM Agency/Primary Surcharge Fee (12)(41)(42)]	[SPX (incl SPXW) and SPESG]	[C F J L M B N U]	\$0.10
	[VIX]	[C F J L M B N U]	\$0.04
SPX AIM Hybrid Surcharge (26)(42)	SPX (incl SPXW)	J M B N U	\$0.50
		F L	\$0.39
SPX AIM Hybrid Originator Surcharge (26)(42)	SPX (incl SPXW)	C F J L M B N U	\$0.10
AIM Response Surcharge Fee (12)(41)(42) (Trading Floor Inoperable)	SPX (incl SPXW) and SPESG	C F J L M B N U	\$0.05
AIM Contra Surcharge Fee (12)(41)(42) (Trading Floor Inoperable)	SPX (incl SPXW) and SPESG	C F J L M B N U	\$0.10
AIM Agency/Primary Surcharge Fee (12)(41)(42) (Trading Floor Inoperable)	SPX (incl SPXW) and SPESG	C F J L M B N U	\$0.10
	VIX	C F J L M B N U	\$0.04
RFC Execution Surcharge Fee (41)(21)(25)(42)	SPX (incl SPXW)	C F J L M B N U	\$0.05
	VIX	C F J L M B N U	\$0.04
Floor Broker Solicitation Surcharge Fee (40)	SPX (incl SPXW)	F J L M B N U	\$0.15

Clearing Trading Permit Holder Fee Cap (11)(22)(33)							
Capacity	Execution Type	Capacity Code	(F) Fee Per Contract	Does Volume Count Toward \$65,000 Fee Cap?	Count Toward Proprietary Product Sliding Scale?	(C) Volume of Paired Order Count for VIP?	Notes
Clearing Trading Permit Holder Proprietary	Electronic Penny (non-AIM)	F L	\$0.43	No	Yes	N/A	Clearing Trading Permit Holder Fee Cap Includes transaction fees assessed as part of a strategy cap (see Footnote 13). However, a Clearing Trading Permit Holder that has reached the Clearing Trading Permit Holder Fee Cap in a given month would no longer be eligible for Strategy Rebates as defined in Footnote 13.
	Electronic Non-Penny (non-AIM)		\$0.70	No	Yes	N/A	
	Open Outcry		\$0.20	Yes	Yes	No	
	QCC		\$0.18	Yes	Yes	No	
	FLEX		\$0.25	Yes	Yes	Yes	
	AIM Primary Order		\$0.20	Yes	Yes	Yes	
	AIM [Facilitation]Contra Order		\$0.07	Yes	Yes	Yes	
	[AIM Solicitation]SAM Contra Order		\$0.07	Yes	Yes	Yes	
	Open Outcry Facilitation		\$0.00	No	Yes	No	
	Open Outcry Solicitation		\$0.20	Yes	Yes	No	

Footnotes:	
Footnote Number	Description
12	In the event the Cboe Options trading floor becomes inoperable and the Exchange operates in a screen-based only environment <u>during RTH</u> , the Exchange will apply the following pricing changes for the duration of time the Exchange operates in a screen-based only environment <u>during RTH</u> (as well as for the Curb session immediately following a RTH trading session in which the Cboe Options trading floor is inoperable and the Exchange operates in a screen-based only environment) : (1) holders of a Market-Maker Floor Permit will be entitled to act as an electronic Market-Maker and holders of a Floor Broker Permit will be entitled to access the Exchange electronically to submit orders to the Exchange, provided that any Floor Broker TPH that did not have an Electronic Access Permit ("EAP") prior to the closure of the trading floor will be charged for one EAP per TPH organization and any floor Market-Maker that did not have a Market Maker EAP will be charged for one Market Maker EAP per TPH organization; (2) if the trading floor reopens mid-month, floor Trading Permit fees for that month will be prorated based on the remaining trading days in the calendar month; (3) the SPX and SPESG, and SPXW Execution Surcharges will be waived, where applicable, for SPX/SPXW and SPESG orders executed via AIM <u>during RTH</u> ; (4) the AIM Agency/Primary Surcharge for SPX/SPXW, SPESG and VIX will apply to all SPX/SPXW, SPESG and VIX AIM Agency/Primary orders when the Exchange operates in a screen-based only environment <u>during RTH</u> and such fee will be invoiced to the executing Trading Permit Holder; (5) SPX/SPXW, RUT, and VIX contracts executed via AIM, and contracts executed as a RFC order, during a time when the Exchange operates in a screen-based only environment <u>during RTH</u> will not count towards the 1,000 contract thresholds for the SPX/SPXW, VIX and RUT Tier Appointment Fees; (6) for purposes of Routing Fees, Cboe Options will not pass through or otherwise charge customer orders (of any size) routed to other exchanges that were originally transmitted to the Exchange from a registered Floor Broker through an Exchange-sponsored terminal; (7) all designated facility fees will not be charged when the Exchange operates in a screen-based only environment <u>during RTH</u> , however such fees will be pro-rated based on the remaining trading days in the calendar month if the trading floor reopens mid-month; (8) the Inactive Nominee Status (Parking Space) and Inactive Nominee Status Change (Trading Permit Swap) fees will not apply when the Exchange operates in a screen-based only environment <u>during RTH</u> , provided that if the trading floor re-opens mid-month the Parking Space fee will be prorated based on the remaining trading days in the calendar month; and (9) the AIM Contra Surcharge and AIM Response Surcharge will apply to all SPX/SPXW and SPESG AIM Contra and AIM Response/Priority Response orders, respectively, when the Exchange operates in a screen-based only environment <u>during RTH</u> . Additionally, in the event the Exchange operates in a screen-based only environment <u>during RTH</u> , AIM may be available for SPX/SPXW and SPESG during [Regular Trading Hours] <u>RTH</u> .

26	The SPX AIM Hybrid Surcharges, including the Originator Surcharge, apply only to SPX/SPXW orders executed in AIM and C-AIM during RTH <u>and Curb</u> when the Exchange is operating in a hybrid environment (i.e., the trading floor is operable). The SPX AIM Hybrid Surcharge will apply to all SPX/SPXW AIM Agency/Primary, Contra and Response orders. The SPX AIM Hybrid Originator Surcharge will apply to all SPX/SPXW Agency/Primary orders and such fee will be invoiced to the executing Trading Permit Holder.
