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Rate Table - Underlying Symbol List A (34) (Also applies to GTH)(37)		Options Transaction Fees (1)(3)(4)(7)(13)(15)(33)(39)(12)						
Capacity	Products	Capacity Code	Transaction Fee Per Contract by Premium Price				VIX Only (12) SPX (incl SPXW) in GTH Only	
			\$0.00 - \$0.10	\$0.11 - \$0.99	\$1.00 - \$1.99	\$2.00+	AIM Agency/Primary (19)	AIM Contra (18)
Customer (2)	OEX and XEO	C					{CO}	\$0.40
	OEX Weeklys, XEO Weeklys (47)						{CP}	\$0.30
	RUT						{CR}	\$0.18
	RLG, RLV, RUI and UKXM						{WR}	\$0.00
	SPX (incl SPXW) and SPESG		{CS} \$0.36		{CT} \$0.45		See Rates to Left	
	VIX (simple orders)		{CV} \$0.10	{CW} \$0.25	{CX} \$0.40	{CY} \$0.45	See Rates to Left	
Clearing Trading Permit Holder Proprietary (11)(12)(16)	SPX (incl SPXW) and SPESG(41)(12) Underlying Symbol List A (34) VIX	F L	{FH} \$0.26 - See Cboe Options Clearing Trading Permit Holder Proprietary Products Sliding Scales/ {WR} \$0.00 RLG, RLV, RUI, UKXM Only					
Cboe Options Market-Maker/ DPM/LMM (10)(42)(43)	SPX (incl SPXW) and SPESG(41)(12)	M	{MS} \$0.28 - See SPX Liquidity Provider Sliding Scale/ {SC} \$0.00					
	RUT		{MT} \$0.30					
	OEX and XEO		{MR} \$0.20					
	RLG, RLV, RUI, UKXM		{WR} \$0.00					
	VIX (43)(45)		{MV} \$0.05	{MW} \$0.23		See Rates to Left		
Joint Back-Office (45) Non-Trading Permit Holder Market Maker (16)(45) Professional (45)	OEX, XEO and VIX	B N U J	{BR} \$0.40					
	SPX (incl SPXW) and SPESG		{BT} \$0.42					
	RUT		{BS} \$0.25 Manual and AIM/ {BK} \$0.65 non-AIM Electronic					
	RLG, RLV, RUI and UKXM		{WR} \$0.00					
Surcharge Fee (14) (Also applies to GTH)(37)	Index License (41)(12)	F J L M B N U	\$0.45					
			\$0.17/ {SC} \$0.00					
			\$0.10 (\$0.00 for capacity codes F and L for VIX transactions where the VIX Premium is ≤ \$0.10 and the related series has an expiration of seven (7) calendar days or less.)					
FLEX Surcharge Fee (17)	Underlying Symbol List A (34) (except RLG, RLV, RUI, and UKXM)	C F J L M B N U	\$0.10 (capped at \$250 per trade)					
			\$0.00					
Exotic Surcharge (42)		C	\$0.25					

Execution Surcharge (21)(12) (Also applies to GTH)(37)	SPX (Only)(not incl SPXW) and SPESG (15)	C F J L B N U	\$0.21				
	SPXW (electronic only)		\$0.13				
Customer Priority Surcharge (31) (Also applies to GTH)(37)	VIX (Maker non-turner)	C	\$0.00	\$0.20			
AIM Response Surcharge Fee (12)	SPX (incl SPXW) and SPESG	C F J L M B N U	\$0.05				
AIM Contra Surcharge Fee (12)	SPX (incl SPXW) and SPESG	C F J L M B N U	\$0.10				
AIM Agency/Primary Surcharge Fee (12)	SPX (incl SPXW) and SPESG	C F J L M B N U	\$0.10				
	VIX	C F J L M B N U	\$0.04				
RFC Execution Surcharge Fee (12)	SPX (incl SPXW)	C F J L M B N U	\$0.05				
	VIX	C F J L M B N U	\$0.04				

SPX/SPXW and SPESG Liquidity Provider Sliding Scale (41)(33)(12)					
Capacity	Tier	Volume Thresholds	Capacity Code	Transaction Fee Per Contract	Notes
Cboe Options Market-Maker/LMM	1	0.00% - 1.00%	M	\$0.28	Volume thresholds are based on total Market-Maker volume in SPX, [and] SPXW and SPESG.
	2	Above 1.00% - 4.00%		\$0.26	
	3	Above 4.00% - 9.00%		\$0.24	
	4	Above 9.00% - 15.00%		\$0.23	
	5	Above 15.00%		\$0.21	

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Floor Brokerage Fees (1)(5)(15)		
Description	Fee Per Contract	
OEX, XEO, RUT, RLG, RLV, RUI, UKXM, [and] SPX/SPXW and SPESG [Index Options] (24)	Non-Crossed Orders	\$0.04
	Crossed Orders	\$0.02
VIX	Non-Crossed Orders	\$0.03
	Crossed Orders	\$0.015

Floor Brokerage Fees Discount Scale (41)			
Tier	Total Monthly Floor Broker Contracts Traded in Qualifying Classes	% Discount on Qualifying Brokerage Fees	Notes
1	0 - 250,000	0%	This discount is based on total monthly floor broker volume in OEX, XEO, RUT, SPX, SPX(w)W, SPESG, VIX and volatility index options. Once a volume threshold is attained during the month, the corresponding discount percentage will apply to all qualifying contracts.
2	250,001 - 1,500,000	3%	
3	1,500,001 - 5,000,000	4%	
4	5,000,001 - 7,500,000	5%	
5	Above 7,500,000	6%	

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RTH SPESG LMM Incentive Program										
Capacity	Capacity Code	Premium Level	Expiring		Near Term		Mid Term		Long Term	
			7 days or less		8 days to 60 days		61 days to 270 days		271 days or Greater	
			Width	Size	Width	Size	Width	Size	Width	Size
LMM	M	\$0.00 - \$5.00	\$0.50	10	\$0.40	25	\$0.60	15	\$1.00	10
		\$5.01 - \$15.00	\$2.00	7	\$1.60	18	\$2.40	11	\$4.00	7
		\$15.01 - \$50.00	\$5.00	5	\$4.00	13	\$6.00	8	\$10.00	5
		\$50.01 - \$100.00	\$10.00	3	\$8.00	8	\$12.00	5	\$20.00	3
		\$100.01 - \$200.00	\$20.00	2	\$16.00	5	\$24.00	3	\$40.00	2
		Greater than \$200.00	\$30.00	1	\$24.00	3	\$36.00	1	\$60.00	1

For SPESG, if the appointed LMM provides continuous electronic quotes during Regular Trading Hours that meet or exceed the above heightened quoting standards in at least 60% of SPESG series 90% of the time in a given month, the LMM will receive a rebate for that month in the amount of a pro-rata share of a compensation pool equal to \$50,000 (or pro-rated amount if an appointment begins after the first trading day of the month or ends prior to the last trading day of the month) for that month. If, for example, two LMMs meet the heightened continuous quoting standard in SPESG during a month, each will receive \$25,000. If only one LMM meets the heightened continuous quoting standard in SPESG during a month, that LMM would receive \$50,000 and the other one would receive nothing. The Exchange may consider other exceptions to this quoting standard based on demonstrated legal or regulatory requirements or other mitigating circumstances.

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Footnotes:	
Footnote Number	Description
1-11	No change.
12	In the event the Cboe Options trading floor becomes inoperable and the Exchange operates in a screen-based only environment, the Exchange will apply the following pricing changes for the duration of time the Exchange operates in a screen-based only environment: (1) holders of a Market-Maker Floor Permit will be entitled to act as an electronic Market-Maker and holders of a Floor Broker Permit will be entitled to access the Exchange electronically to submit orders to the Exchange, provided that any Floor Broker TPH that did not have an Electronic Access Permit ("EAP") prior to the closure of the trading floor will be charged for one EAP per TPH organization and any floor Market-Maker that did not have a Market Maker EAP will be charged for one Market Maker EAP per TPH organization; (2) if the trading floor reopens mid-month, floor Trading Permit fees for that month will be prorated based on the remaining trading days in the calendar month; (3) the SPX and SPESG, and SPXW Execution Surcharges will be waived, where applicable, for SPX/[and] SPXW and SPESG orders executed via AIM and for SPX/SPXW Related Future Cross ("RFC") orders; (4) the AIM Agency/Primary Surcharge for SPX/SPXW, SPESG and VIX and RFC Execution Surcharge for SPX/SPXW and VIX will apply to all SPX/SPXW, SPESG and VIX AIM Agency/Primary orders and all SPX/SPXW and VIX RFC initiating orders, respectively, when the Exchange operates in a screen-based only environment and such fee will be invoiced to the executing Trading Permit Holder; (5) SPX/SPXW, RUT, and VIX contracts executed via AIM, and contracts executed as a RFC order, during a time when the Exchange operates in a screen-based only environment will not count towards the 1,000 contract thresholds for the SPX/SPXW, VIX and RUT Tier Appointment Fees; (6) for purposes of Routing Fees, Cboe Options will not pass through or otherwise charge customer orders (of any size) routed to other exchanges that were originally transmitted to the Exchange from a registered Floor Broker through an Exchange-sponsored terminal; (7) all designated facility fees, including the Trading Floor Printer Maintenance Fee, will not be charged when the Exchange operates in a screen-based only environment, however such fees will be pro-rated based on the remaining trading days in the calendar month if the trading floor reopens mid-month; (8) the Inactive Nominee Status (Parking Space) and Inactive Nominee Status Change (Trading Permit Swap) fees will not apply when the Exchange operates in a screen-based only environment, provided that if the trading floor re-opens mid-month the Parking Space fee will be prorated based on the remaining trading days in the calendar month; and (9) the AIM Contra Surcharge and AIM Response Surcharge will apply to all SPX/SPXW and SPESG AIM Contra and AIM Response/Priority Response orders, respectively, when the Exchange operates in a screen-based only environment. Additionally, in the event the Exchange operates in a screen-based only environment, AIM may be available for SPX/[and] SPXW and SPESG during Regular Trading Hours. The Exchange shall waive transaction fees, including the Index License Surcharge and SPX/SPXW Execution Surcharge, for closing transactions involving SPX and SPXW compression-list positions executed in a compression forum (pursuant to Rule 5.24). In order to receive a waiver of fees for compression forum transactions, a TPH must mark its orders in a form and manner determined by the Exchange to identify them as eligible for the compression rebates. Orders identified as compression trades will not count towards any volume thresholds.
13-20	No change.
21	All electronic executions in SPX, [and] SPXW and SPESG shall be assessed the SPX, [and] SPXW and SPESG Execution Surcharge, respectively, except that this fee shall not apply to: (i) orders in SPX or SPXW options in the SPX electronic book for those SPX or SPXW options that are executed during opening rotation on the final settlement date of VIX options and futures which have the expiration that are used in the VIX settlement calculation and (ii) orders executed in SPX, SPXW and SPESG by a floor broker using a PAR terminal. See also footnote 15.
22-23	No change.
24	When the Exchange is operating in a modified state in connection with the COVID-19 pandemic, floor trading permit fees will not be assessed on the total number of floor trading permits a TPH organization holds, and instead will be based on the floor trading permits used by nominees of the TPH each day during the month using the following formula: (i) the number of floor trading permits that have a nominee assigned to it in the Customer Web Portal system ("Portal") in a given month, multiplied by the number of trading days that the floor is open and that a nominee is assigned to each respective trading permit in that month, divided by (ii) the total number of trading days in a month. The Exchange will round up to determine the total number of trading permits assessed the fees set forth in the Floor Trading Permit Sliding Scales. If the trading floor becomes fully operational mid-month, trading floor permit fees will continue to be assessed using the foregoing formula. The Exchange will also apply the following pricing changes for the duration of time the Exchange is operating in a modified state in connection with the COVID-19 pandemic: (1) the monthly fee for the SPX/SPXW Floor Market-Maker Tier Appointment Fee will be increased to \$5,000 per Trading Permit; (2) SPX/SPXW and SPESG Floor Brokerage Fees will be assessed the rate of \$0.05 per contract for non-crossed orders and \$0.03 per contract for crossed orders; (3) the Inactive Nominee Status (Parking Space) and Inactive Nominee Status Change (Trading Permit Swap) fees will not apply during any month the trading floor is operating in a modified state; and (4) monthly fees will be waived for the following facilities fees: standard and non-standard booth rentals, wireline phone rental, arbitrage phone positions and satellite tv, provided however that such fees will be pro-rated based on the remaining trading days in the calendar month if the trading floor becomes fully operational mid-month. If a TPH is unable to utilize designated facility services while the trading floor is operating in a modified state, corresponding fees, including for Exchange phone maintenance, single line maintenance, intra floor lines, voice circuits, data circuits at local carrier (entrance), and data circuits at in-house frame, will not be assessed.
Reserved-33	No change.
34	Underlying Symbol List A: OEX, XEO, RUT, RLG, RLV, RUI, UKXM, SPX (includes SPX(w)W), SPESG and VIX.
35-50	No change.

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