



EXECUTE SUCCESSSM

Registration Services Department
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EXTENDED TRADING HOURS (ETH) EXECUTING AGENT SUBSIDY REGISTRATION

Name of Trading Permit Holder (TPH) Organization

To participate in the ETH Executing Agent Subsidy Program, a Trading Permit Holder (TPH) must be a designated ETH executing agent (see below for information regarding the designation process). The TPH must include on or with this form information demonstrating it maintains an ETH executing agent operation.

TPH confirms it is:

- Physically staffed throughout each entire ETH trading session (generally means the TPH has persons available during all hours of the ETH trading session to take orders from customers).
- Willing to accept and execute orders on behalf of customers, including customers for which the TPH does not hold accounts.

Name of Authorized Signatory TPH Organization

Title of Authorized Signatory

Signature of Authorized Signatory TPH Organization

Date

Please fill out the above information and email the completed form as an attachment to registration@cboe.com.

To become a designated ETH executing agent, the TPH must submit this form to the Exchange by **3:00 pm on the second to last business day** of a calendar month to be designated an ETH executing agent under the program, and thus eligible for the monthly subsidy (subject to satisfaction of the monthly ETH volume requirement as set forth in the Fees Schedule), beginning the following calendar month.

Subject to the Exchange’s confirmation of the information provided on and with this form, this designation will become effective the first business day of the following calendar month and remain in effect until the Exchange receives an email from the TPH terminating its designation or the Exchange determines the TPH’s ETH executing agent operation no longer satisfies the two conditions listed above.

Questions regarding this program may be directed to Allison Kile at (312-786-7210) or kilea@cboe.com.

Representative is authorized to act on behalf of the TPH: Specialist Initials: _____ Date: _____

RSD Management Review: Initials: _____ Date: _____