

**Choe U.S. Options Fees Schedules****C2 Options****Effective [December 17, 2025]January 2, 2026**

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**Regulatory Fees:**Web CRD<sup>SM</sup> Fees:

The following fees will be collected and retained by FINRA via the Web CRD<sup>SM</sup> registration system for the registration of associated persons of Exchange Trading Permit Holders that are not also FINRA members:

**General Registration Fees:**

\$125	FINRA Non-Member Processing Fee*
\$155	FINRA Disclosure Processing Fee** (U-4, U-5, Form BD and amendments)
[\$45]	[FINRA Annual System Processing Fee assessed only during Renewals (through December 31, 2023)]
[\$70]	[FINRA Annual System Processing Fee assessed only during Renewals (effective January 2, 2024)]

\* For all Initial, Transfer, Relicense, and Dual Registration Form U-4 filings. This fee will also be generated upon refiling to Web CRD<sup>SM</sup> of C2 - only registered individuals.

\*\* For all registration, transfer, or termination filings with new or amended disclosure information or that require certification as well as any amendment to disclosure information.

**FINRA Annual System Processing Fee Assessed Only During Renewals\***

<u>\$70</u>	<u>1 – 5 Securities Regulators</u>
<u>\$95</u>	<u>6 – 20 Securities Regulators</u>
<u>\$110</u>	<u>21 – 40 Securities Regulators</u>
<u>\$125</u>	<u>41+ Securities Regulators</u>

\* The FINRA Annual System Processing Fee Assessed Only During Renewals is calculated based on the total number of securities regulators with which each registered person of a TPH is registered, excluding registration as an investment adviser representative.

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**Continuing Education Fees:**

There shall be a session fee of \$[18]25 for each individual who is required to complete the Regulatory Element of the Continuing Education Requirements pursuant to Rule 9.3A.

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