UNITED STATES OF AMERICA

before the

SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 106528 / September 29, 2026

WHISTLEBLOWER AWARD PROCEEDING
File No. 2026-51

In the Matter of the Claim for an Award

in connection with

Notice of Covered Action Redacted

Redacted

ORDER DETERMINING WHISTLEBLOWER AWARD CLAIM

The Claims Review Staff ("CRS") issued a Preliminary Determination recommending that Redacted ("Claimant") receive a whistleblower award of *** percent (*** %) of the monetary sanctions collected in the above-referenced Covered Action (the "Covered Action"), for an award of more than $2,000,000.

The recommendation of the CRS is adopted. The record demonstrates that Claimant voluntarily provided original information to the Commission that caused the staff to open the investigation, and that the Commission's findings in the successful enforcement action were based, in part, on Claimant's information.[1]

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[1] *See* Exchange Act Rule ("Rule") 21F-4(c)(1), 17 C.F.R. § 240.21F-4(c)(1). Although Claimant was *** *** *** , Claimant qualifies Redacted for an exception Redacted * Redacted .

[2] Redacted

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Redacted , as Claimant provided significant information and details about the violations and relevant individuals and gave numerous interviews to Commission staff. Redacted

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Accordingly, it is hereby ORDERED that Claimant shall receive an award of *** percent (*** %) of the monetary sanctions collected or to be collected in the Covered Action.

By the Commission.

Vanessa A. Countryman

Secretary

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3 Redacted