

UNITED STATES OF AMERICA

Before the

SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 106510 / September 28, 2026

WHISTLEBLOWER AWARD PROCEEDING
File No. 2026-42

In the Matter of the Claim for an Award

in connection with

Notice of Covered Action ^{Redacted}

Redacted

Redacted

ORDER DETERMINING WHISTLEBLOWER AWARD CLAIM

The Claims Review Staff (“CRS”) issued a Preliminary Determination recommending that ^{Redacted} (“Claimant”) receive a whistleblower award of approximately \$400,000, which represents ^{***} percent (^{***} %) of the monetary sanctions collected or to be collected in the above-referenced Covered Action (the “Covered Action”).

The recommendation of the CRS is adopted. The record demonstrates that Claimant voluntarily provided original information to the Commission that significantly contributed to the investigation.¹ Claimant initially provided detailed examples of misconduct and internal documents demonstrating the misconduct, and submitted additional information following an interview with staff, and continued to produce helpful information throughout the course of the investigation.

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¹ See Exchange Act Rule (hereafter “Rule”) 21F-4(c)(2), 17 C.F.R. § 240.21F-4(c)(2).

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Further, Claimant provided more than limited assistance, as Claimant provided significant information and details about the violations and relevant individuals and gave an interview to Commission staff. Redacted

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Accordingly, it is hereby ORDERED that Claimant shall receive an award of ^{***} percent (^{***} %) of the monetary sanctions collected or to be collected in the Covered Action.

By the Commission.

Vanessa A. Countryman

Secretary

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