

# Symbol Reservation

Texas Stock Exchange LLC (“TXSE”) participates in the National Market System Symbology Plan (the “Plan”) for the selection and use of 1-5 character root symbols, as governed by the Intermarket Symbols Reservation Authority (“ISRA”). Under the Plan, each issuer can reserve one symbol, and the issuer must reasonably expect to use the symbol within 24 months of reservation.

All market participants benefit from a uniform symbology plan and, as a member of ISRA, TXSE will work with the U.S. Securities and Exchange Commission and industry participants to develop and maintain a plan that promotes fair competition, reduces programming and operational complexity and upholds the interests of listed issuers and market participants.

The latest information about ISRA and a copy of the NMS Symbology Plan is available on The Options Clearing Corporation’s ( “The OCC”) website at <http://www.theocc.com/clearing/industry-services/isra.jsp>. The OCC acts as the ISRA processor for the National Market System Plan.

A trading symbol is provided to the company for the limited purpose of identifying the company’s security in authorized quotation and trading systems. TXSE reserves the right, in its sole and final discretion, to assign, rescind or reassign any trading symbol at any time.

For additional questions on reserving or changing a symbol for trading on TXSE, please contact: [listings@txse.com](mailto:listings@txse.com).

*Instructions: Companies seeking initial listing on TXSE must complete this form in its entirety. Current TXSE listed companies requesting a symbol change pursuant to TXSE Rule 16.207(e)(3)(A) must complete Parts I and III of this form.*

## Part I: Symbol Request

### General Information

Date:

Company Name (the “Company”):

Requestor’s Full Name:

Requestor’s Title:

Requestor’s Phone:

Requestor’s Email:

Current Symbol (if applicable):

## Symbol Requested Plus Two Alternates

1)

2)

3)

## Part II: Company Information

### General Information

Address of Company's Principal Executive Offices:

Address Line 2:

City/Province:

State:

Zip/Postal Code:

Country:

Phone:

Website:

Is the Company Privately or Publicly Traded?

Name of the Market the Company is Currently Trading On (if any):

Is the Company a Subsidiary?

Parent Company Name:

Parent Symbol:

## Part III: Affirmation

I have been authorized by the Company and have the legal authority to provide information on the Company's behalf; to the best of my knowledge and belief, the information provided is true and correct as of this date; and the Company will promptly notify TXSE of any material changes. I understand that the Company has a continuing duty to update TXSE whenever there is an addition to or change in information previously furnished.

\_\_\_\_\_  
Signature of Duly Authorized Representative

\_\_\_\_\_  
Title

\_\_\_\_\_  
Date