

## MEMX Non-Member Clearing Firm Information Document

**INFORMATION DOCUMENT** – To be completed by the Non-Member Clearing Firm of Members of MEMX LLC and/or MX2 LLC (each, an “Exchange”).

The undersigned Clearing Firm represents that it is a member of the National Securities Clearing Corporation (“NSCC”), a clearing agency registered with the Securities Exchange Commission pursuant to Section 17A of the Securities Exchange Act of 1934. The undersigned further represents that it is requesting to have its NSCC participant number added to the Exchange’s system to facilitate clearance and settlement of transactions made by Members of the Exchange.

An executed version of this Information Document can be delivered to the Exchange through the Exchange’s User Portal or via email to [membership@memx.com](mailto:membership@memx.com).

GENERAL INFORMATION		
Clearing Firm Name:	NSCC #:	
Address of Principal Office:		
City:	State:	Zip:
EXCHANGE		
These application materials are submitted to join the following exchange(s): <input type="checkbox"/> MEMX LLC <input type="checkbox"/> MX2 LLC		
BUSINESS CONTACT		
Name:	Email:	
Title:	Phone	
CLEARING LETTER OF GUARANTEE CONTACT		
Name:	Email:	
Title:	Phone	
CLEARING BREAKS CONTACT		
Name:	Email:	
Title:	Phone	

\_\_\_\_\_  
Signature of Authorized Officer, Partner or Managing  
Member or Sole Proprietor of Clearing Firm

\_\_\_\_\_  
Printed Name/Title

\_\_\_\_\_  
Date