

UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934  
Release No. 99312 / January 11, 2024  
WHISTLEBLOWER AWARD PROCEEDING  
File No. 2024-7

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In the Matter of the Claim for Award

in connection with

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Notice of Covered Action <sup>Redacted</sup>

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**ORDER DETERMINING WHISTLEBLOWER AWARD CLAIM**

The Claims Review Staff (“CRS”) issued a Preliminary Determination recommending that <sup>Redacted</sup> (“Claimant”) receive a whistleblower award equal to thirty percent (30%) of the amount collected, or to be collected, in the above-referenced Covered Action (“Covered Action”). The recommendation of the CRS is adopted. The record demonstrates that Claimant voluntarily provided original information to the Commission and that this original information led to the successful enforcement of the Covered Action.<sup>1</sup>

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<sup>1</sup> See Exchange Act Section 21F(b)(1), 15 U.S.C. § 78u-6(b)(1); Exchange Act Rule 21F-3, 17 C.F.R. § 240.21F-3.

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In reaching this determination, the Commission considered that Claimant provided new information that prompted Commission staff to open an investigation into the alleged misconduct; Claimant provided additional assistance during the investigation through in-person and telephonic interviews to explain documents and information submitted to the Commission; and the charges in the Covered Action were based, in part, on Claimant's information.

Accordingly, it is hereby ORDERED that Claimant shall receive an award of thirty percent (30%) of the monetary sanctions collected or to be collected in the Covered Action.

By the Commission.

Vanessa A. Countryman  
Secretary