

UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934  
Release No. 100309 / June 11, 2024

WHISTLEBLOWER AWARD PROCEEDING  
File No. 2024-23

---

In the Matter of the Claim for an Award  
in connection with  
Notice of Covered Action <sup>Redacted</sup>  
  
Redacted

---

**ORDER DETERMINING WHISTLEBLOWER AWARD CLAIM**

The Claims Review Staff (“CRS”) issued a Preliminary Determination recommending that <sup>Redacted</sup> (“Claimant”) receive a whistleblower award of <sup>\*\*\*</sup> percent (<sup>\*\*\*</sup> %) of the monetary sanctions collected in the above-referenced Covered Action (the “Covered Action”), or more than \$600,000.

The recommendation of the CRS is adopted. The record demonstrates that Claimant voluntarily provided original information to the Commission that caused the staff to open the investigation, and that the Commission’s findings in the successful enforcement action were based, in part, on Claimant’s information.<sup>1</sup> Notably, Claimant uncovered and reported additional violations after Claimant’s initial filing with the Commission, and the Commission was able to expand the successful enforcement action accordingly.

Redacted  
Redacted  
Redacted  
Redacted

---

<sup>1</sup> See Exchange Act Rule 21F-4(c)(1), 17 C.F.R. § 240.21F-4(c)(1).

Redacted

Redacted

Redacted

Redacted

Redacted

Redacted

Redacted

Further, Claimant provided more than limited assistance, as Claimant gave numerous interviews to Commission staff. Claimant also provided significant information and details about the violations and relevant individuals. Redacted

Redacted

Redacted

Accordingly, it is hereby ORDERED that Claimant shall receive an award of <sup>\*\*\*</sup> percent ( <sup>\*\*\*</sup> %) of the monetary sanctions collected or to be collected in the Covered Action.

By the Commission.

Vanessa A. Countryman  
Secretary