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## UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549

APPLICATION FOR, AND AMENDMENTS TO APPLICATION FOR, REGISTRATION AS A NATIONAL SECURITIES EXCHANGE OR EXEMPTION FROM REGISTRATION PURSUANT TO SECTION 5 OF THE EXCHANGE ACT

Date filed (MM/DD/YY):

09/26/23

OFFICIAL USE ONLY

| Warning: Failure to keep this form current and to file accurate supplementary information on a timely basis, or the failure to keep accurate books and records or otherwise comply with the provisions of law applying to the conduct of the applicant would violate the federal securities laws and may result in disciplinary, administrative or criminal action   |
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| INTENTIONAL MISSTATEMENTS OR OMISSIONS OF FACTS MAY CONSTITUTE CRIMINAL VIOLATIONS   |
| ☐ AMENDMENT  |
| 1. State the name of the applicant: MIAX Sapphire, LLC   |
| <ol> <li>Provide the applicant's primary street address (Do not use a P.O. Box): 7 Roszel Road, Suite 1A,<br/>Princeton, NJ 08540</li> </ol>   |
| 3. Provide the applicant's mailing address (if different):   |
| 4. Provide the applicant's business telephone and facsimile number:  Telephone: 609-897-7300; Facsimile: 609-987-2210  |
| 5. Provide the name, title and telephone number of a contact employee: Barbara J. Comly, EVP, General Counsel & Corporate Secretary 609-897-7315   |
| 6. Provide the name and address of counsel for the applicant:  Barbara J. Comly, EVP, General Counsel & Corporate Secretary  MIAX Sapphire, LLC  7 Roszel Road, Suite 1A  Princeton, NJ 08540  |
| 7. Provide the date applicant's fiscal year ends: December 31  |
| 8. Indicate legal status of applicant:  Corporation Sole Proprietorship Partnership Limited Liability Company Other (specify):   |
| If other than a sole proprietor, indicate the date and place where applicant obtained its legal status (e.g. state where incorporated, place where partnership agreement was filed or where applicant entity was formed):  |
| (a) Date (MM/DD/YY): 04/06/23 (b) State/Country of formation: Delaware   |
| (c) Statute under which applicant was organized: Delaware Limited Liability Company Act (6 Del. C. § 18-101, et seq.)  |
| <b>EXECUTION:</b> The applicant consents that service of any civil action brought by, or notice of any proceeding before, the Securities and Exchange Commission in connection with the applicant's activities may be given by registered or certified mail or confirmed telegram to the applicant's contact employee at the main address, or mailing address if different, given in Items 2 and 3. The undersigned, being first duly sworn, deposes and says that he/she has executed this form on behalf of, and with the authority of, said applicant. The undersigned and applicant represent that the information and statements contained herein, including exhibits, schedules, or other documents attached hereto, and other information filed herewith, all of which are made a part hereof, are current, true, and complete. |
| MIAX SAPPHIRE, LLC   |
| Date: September 26, 2023  By: Thomas P. Gallagher Chairman and Chief Executive Officer   |
| Subscribed and sworn before me this 26 <sup>th</sup> day of September, 2023.  Jane Post Notary Public of the State of New Jersey My Commission Expires October 27, 2024  |

This page must always be completed in full with original, manual signature and notarization.

Affix notary stamp or seal where applicable.